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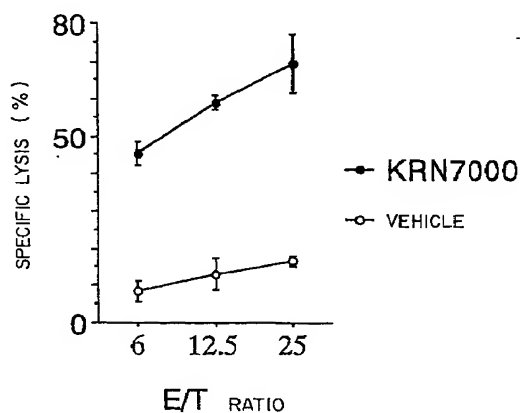
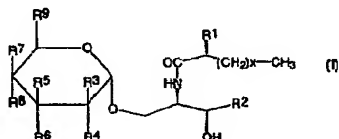
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(54) **NKT CELL ACTIVATORS CONTAINING  $\alpha$ -GLYCOSYLCERAMIDES**

(57) NKT cell activators, remedies for autoimmune diseases (for example, systemic erythematoses, systemic scleroderma, ulcerative colitis, encephalomyelitis, multiple sclerosis and human type I diabetes) and abortifacients. These drugs contain as the active ingredient  $\alpha$ -glycosylceramides of general formula(I) or salts or solvates of the same.



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## Description

## BACKGROUND OF THE INVENTION

5 Field of the Invention

[0001] The present invention relates to NKT cell-activating agents, therapeutic agents for autoimmune diseases and agents for inducing abortion.

10 Background Art

[0002] It has been revealed that intermediate TCR cells (TCR<sup>int</sup> cells), which express T-cell receptors (TCRs) intermediately, are related to natural killer (NK) cells in terms of their features, for example, showing a large granular lymphocyte (LGL)-like morphology, constantly expressing IL-2R  $\beta$ -chains, and having perforin granules, but they are clearly different from NK cells in terms of having TCRs (Watanabe, H. et al., J. Immunol., 155, 2972 (1995)). Furthermore, among the TCR<sup>int</sup> cells activated by interleukin 12 (IL-12), NK 1.1-expressing NK 1.1<sup>+</sup>TCR<sup>int</sup> (NKT) cells have been shown to be important effector cells in controlling hematogenous metastases of tumors to the liver and lung in mice (Hashimoto, W. et al., J. Immunol., 154, 4333 (1995); Anzai, R. et al., Immunol., 88, 82 (1996)). These data suggest that the NKT cells may play an important role in eradicating cancer cells, parasites, protozoans, and intracellular infectious bacteria such as Listeria monocytogenes and Micobacterium tuberculosis (Seki, S. et al., Clin. Immunol., 28, 1069 (1996)).

[0003] The NKT cells are also known to be closely associated with acute rejection in bone marrow transplantation (Yankelevich, B. et al., J. Immunol., 142, 3423 (1989)) and with controlling of IgE antibody production by controlling Th1/Th2 differentiation of helper T cells (Yoshimoto, T. et al., J. Exp. Med., 179, 1285 (1994)). Thus, the NKT cells are a group of new cells that are currently attracting enormous attention.

[0004] V $\alpha$ 14<sup>+</sup> NKT cells are a subset of the above-mentioned NKT cells. Many V $\alpha$ 14<sup>+</sup> NKT cells express V $\alpha$ 14J $\alpha$ 281 mRNA and have this as a TCR  $\alpha$ -chain. Recently, the V $\alpha$ 14<sup>+</sup> NKT cells were shown to be closely associated with the onset of autoimmune diseases. The number of V $\alpha$ 14<sup>+</sup> NKT cells was revealed to selectively decrease prior to the onset of an autoimmune disease in MRL lpr/lpr mice, model mice for an autoimmune disease (human systemic lupus erythematosus) in which abnormal lymphocytes accumulate at 17-20 weeks old (Mieza, M. A. et al., J. Immunol., 156, 4035 (1996)).

[0005] Similar phenomena were also observed in model mice for other autoimmune diseases, such as gld mice and (NZBxNZW) F1 mice, revealing that the V $\alpha$ 14<sup>+</sup> NKT cells are closely associated with the onset of autoimmune diseases (Makino, Y. et al., Clin. Immunol., 28, 1487 (1996)).

[0006] More interestingly, similar phenomena were also observed in humans. The V $\alpha$ 24J $\alpha$ Q $\alpha$  chain, a human homologue to the mouse V $\alpha$ 14J $\alpha$ 281 chain, was found in peripheral blood CD4<sup>+</sup>/CD8<sup>-</sup> T cells at a level of 20-50% in healthy humans but not at all in sclerosis patients (Sumida, T. et al., J. Exp. Med., 182, 1163 (1995)).

[0007] Thus, the mouse V $\alpha$ 14<sup>+</sup> NKT cells and human V $\alpha$ 24J $\alpha$ Q $\alpha$  T cells are known to be involved in various autoimmune diseases which are caused by different causative genes or genetic background. Therefore, IL-12 having an NKT cell-activating activity as mentioned above was expected to be a therapeutic agent for autoimmune diseases such as human systemic lupus erythematosus (SLE) and systemic sclerosis (SSc). However, a marked increase in the number of abnormal lymphocytes (CD3<sup>+</sup>B220<sup>+</sup> double negative T cells) in the spleen and lymph nodes was observed in MRL lpr/lpr mice to which IL-12 was administered as compared with the control mice (Takenori Tsutsui et al., Proceedings of Annual Meeting of the Japanese Society for Immunology, 347 (1996)).

[0008]  $\beta$ -Galactosylceramides or  $\beta$ -glucosylceramides, in which various sugars bound to ceramides in a  $\beta$ -configuration, are present in the mammal body (Svennerholm, L. et al., Biochim. Biophys. Acta, 280, 626 (1972); Karlsson, K. - A. et al., Biochim. Biophys. Acta, 316, 317 (1973)). On the other hand, it is known that  $\alpha$ -galactosylceramides have marked immunostimulatory activity and antitumor activity (Morita, M. et al., J. Med. Chem., 38, 2176 (1995)) and such activities by  $\alpha$ -galactosylceramides or  $\alpha$ -glucosylceramides are known to be much stronger than those by  $\beta$ -galactosylceramides or  $\beta$ -glucosylceramides (Motoki, K. et al., Biol. Pharm. Bull., 18, 1487 (1995)). It is also known that administration of compounds having an  $\alpha$ -glucosylceramide structure protects the body from radiation (Motoki, K. et al., Bioorg. Med. Chem. Lett., 5, 2413 (1995)), suppresses the metastasis of mouse melanoma B16 to the lung (Kobayashi, E. et al., Oncology Res., 7, 529 (1995)) and metastasis of mouse colon adenocarcinoma, Colon 26, and mouse T lymphoma EL-4 to the liver (Kazuhiro Motoki et al., Proceedings of Annual Meeting of the Japanese Cancer Association, 523 (1996)), and increases the number of platelets and leukocytes (Motoki, K. et al., Biol. Pharm. Bull., 19, 952 (1996)).

[0009] However, there are no reports to date that compounds having an  $\alpha$ -glucosylceramide structure are effective on autoimmune diseases, that such compounds would induce abortion, or that such compounds could even affect NKT cells.

## SUMMARY OF THE INVENTION

[0010] The present inventors have now found that  $\alpha$ -glycosylceramides enhance antitumor cytotoxic activity against tumor cells of NKT cells in RAG-1KO/V $\alpha$ 14tg/V $\beta$ 8.2tg mice (mice having a large number of NKT cells but neither B cells, T cells nor NK cells in the lymphocyte fraction), markedly increase the number of NKT cells, in particular, mouse V $\alpha$ 14<sup>+</sup> NKT cells and human V $\alpha$ 24<sup>+</sup> NKT cells, suppress abnormal swelling of axillary and inguinal lymph nodes (accumulation of abnormal lymphocytes) in MRL lpr/lpr mice which are considered model mice for human systemic lupus erythematosus, and control the progression of mouse colitis induced with 4% DSS.

[0011] The present inventors have also found that  $\alpha$ -glycosylceramides suppress the onset of experimental autoimmune encephalomyelitis. This experimental autoimmune encephalomyelitis in mice is a model for human multiple sclerosis. The present inventors have also found that  $\alpha$ -glycosylceramides suppress the spontaneous onset of diabetes in NOD mice which are model animals for human type I diabetes.

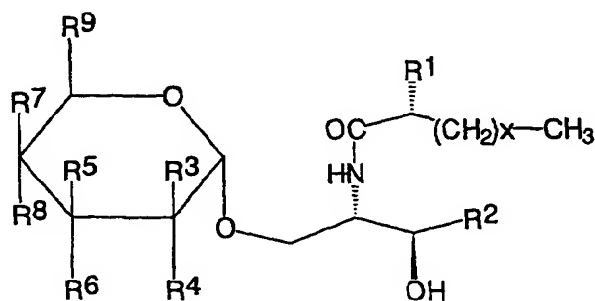
[0012] The present inventors have further found that  $\alpha$ -glycosylceramides have an aborting effect on pregnant mice.

[0013] An objective of the present invention is to provide an agent for activating an NKT cell and an activated NKT cell.

[0014] Another objective of the present invention is to provide a therapeutic agent for autoimmune diseases such as systemic lupus erythematosus, systemic sclerosis, ulcerative colitis, encephalomyelitis, multiple sclerosis, or type I diabetes.

[0015] Further objective of the present invention is to provide an agent for inducing abortion.

[0016] The NKT cell-activating agent, the therapeutic agent for autoimmune diseases and the agent for inducing abortion according to the present invention comprise a compound of formula (I) or a salt or a solvate thereof:



(I)

wherein

R<sup>1</sup> represents H or OH,

X represents an integer between 7 and 27,

R<sup>2</sup> represents a substituent selected from the group consisting of the following (a) to (e) (wherein Y represents an integer between 5 and 17):

(a) -CH<sub>2</sub>(CH<sub>2</sub>)<sub>Y</sub>CH<sub>3</sub>

(b) -CH(OH)(CH<sub>2</sub>)<sub>Y</sub>CH<sub>3</sub>

(c) -CH(OH)(CH<sub>2</sub>)<sub>Y</sub>CH(CH<sub>3</sub>)<sub>2</sub>

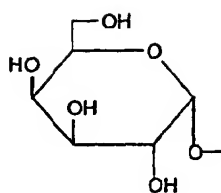
(d) -CH=CH(CH<sub>2</sub>)<sub>Y</sub>CH<sub>3</sub>

(e) -CH(OH)(CH<sub>2</sub>)<sub>Y</sub>CH(CH<sub>3</sub>)CH<sub>2</sub>CH<sub>3</sub>, and

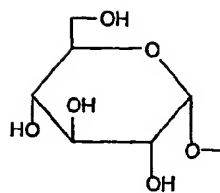
R<sup>3</sup> to R<sup>9</sup> represent substituents as defined in any one of the following i) to v):

i) when R<sup>3</sup>, R<sup>6</sup> and R<sup>8</sup> represent H,

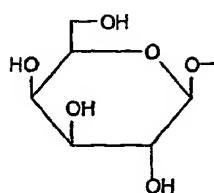
R<sup>4</sup> represents H, OH, NH<sub>2</sub>, NHCOCH<sub>3</sub>, or a substituent selected from the group consisting of the following groups (A) to (D):



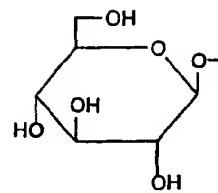
(A)



(B)

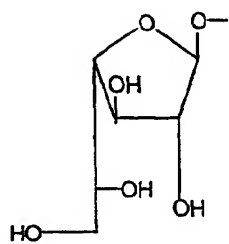


(C)

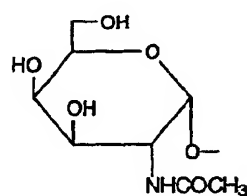


(D)

$R^5$  represents OH or a substituent selected from the group consisting of the following groups (E) and (F):

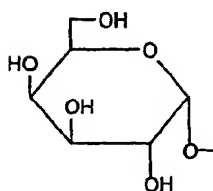


(E)

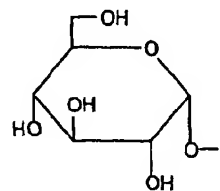


(F)

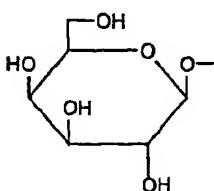
$R^7$  represents OH or a substituent selected from the group consisting of the following groups (A) to (D):



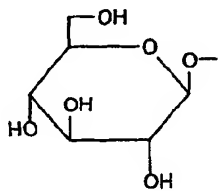
(A)



(B)

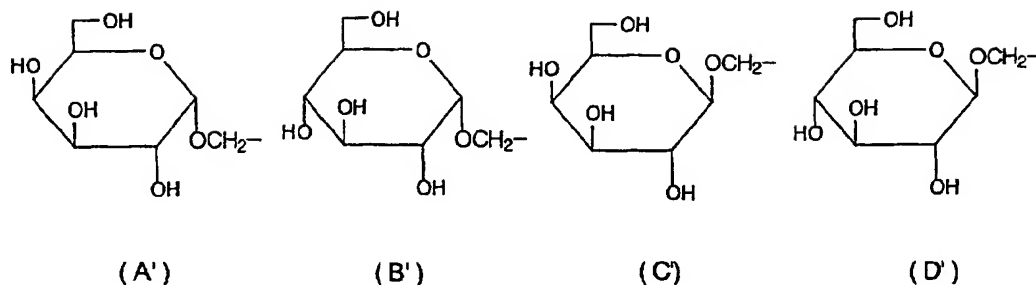


(C)



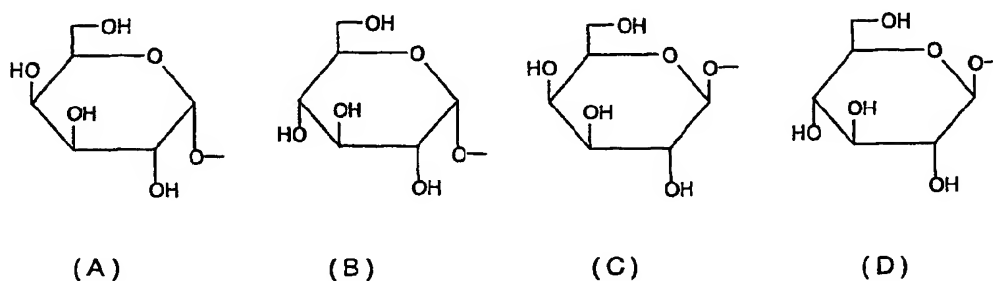
(D)

$R^9$  represents H,  $CH_3$ ,  $CH_2OH$  or a substituent selected from the group consisting of the following groups (A') to (D'):

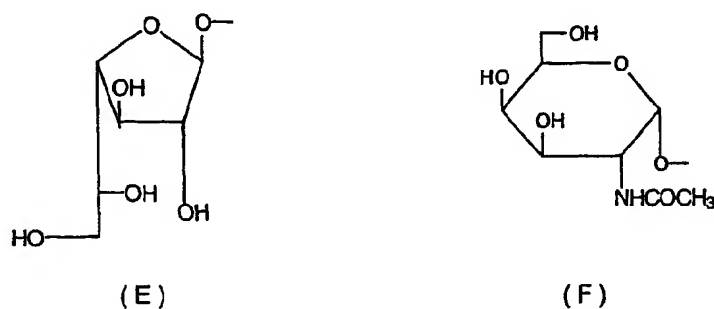


ii) when R<sup>3</sup>, R<sup>6</sup> and R<sup>7</sup> represent H,

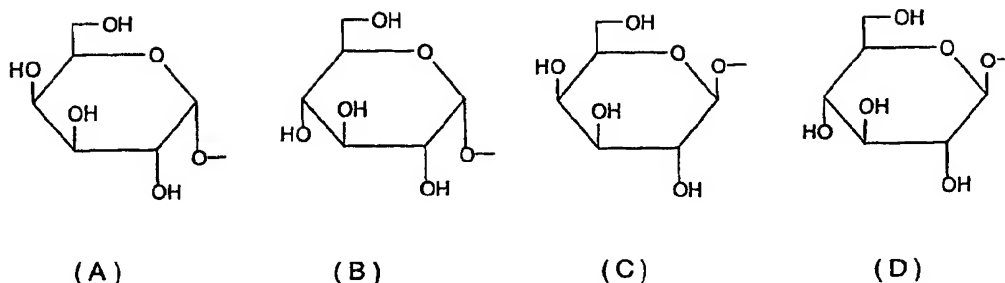
R<sup>4</sup> represents H, OH, NH<sub>2</sub>, NHCOCH<sub>3</sub>, or a substituent selected from the group consisting of the following groups (A) to (D):



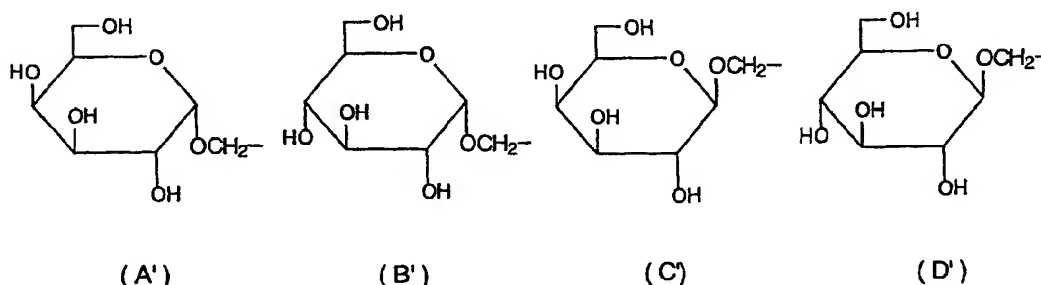
R<sup>5</sup> represents OH or a substituent selected from the group consisting of groups (E) and (F):



R<sup>8</sup> represents OH or a substituent selected from the group consisting of the following groups (A) to (D):



R<sup>9</sup> represents H, CH<sub>3</sub>, CH<sub>2</sub>OH or a substituent selected from the group consisting of the following groups (A') to (D'):



#### BRIEF DESCRIPTION OF THE DRAWINGS

[0017]

Figure 1 shows enhancing effect of KRN7000 on cytotoxic activity of NKT cells against tumor cells. The E/T ratio indicates the number of effector cells (spleen cell counts) / the number of target cells (YAC-1 cell counts). Figure 2 shows stimulation of NKT cell proliferation by KRN 7000 in the spleen.

A: Results of FACS analysis of the spleen lymphocyte fraction. The horizontal axis indicates the fluorescence of FITC-labeled anti-TCR $\alpha\beta$  monoclonal antibody and the vertical axis indicates the fluorescence of cychrome-labeled anti-NK1.1 monoclonal antibody.

B: Results of FACS analysis of the spleen lymphocyte fraction. The vertical axis indicates relative cell counts and the horizontal axis indicates the fluorescence of PE-labeled V $\alpha$ 14 monoclonal antibody. The white area shows the fluorescence when stained with the PE-labeled V $\alpha$ 14 monoclonal antibody after pretreating with an unlabeled V $\alpha$ 14 monoclonal antibody (cold blocking). The shaded area shows the fluorescence distribution of the PE-labeled V $\alpha$ 14 monoclonal antibody to V $\alpha$ 14<sup>+</sup> cells after the administration of vehicle or KRN 7000.

C: Change in the number of total cells, T cells, NK cells and V $\alpha$ 14<sup>+</sup> NKT cells in the spleen lymphocyte fraction by the administration of KRN 7000. ●:V $\alpha$ 14<sup>+</sup> NKT cells, ○:total cells, ◇:T cells, □:NK cells.

Figure 3 shows results as to the progression of lymphatic swelling with time in lpr/lpr mice to which KRN 7000 was administered. Lymph nodes are scored into 4 grades, i.e., -(0), +(1), ++(2) and +++(3) depending on size. Summed scores of the right and left sides of the axillary lymph node (A) or inguinal lymph node (B) are shown as lymph node swelling indexes.

Figure 4 shows survival rates of MRL lpr/lpr mice to which KRN 7000 was administered.

Figure 5 shows the activity of KRN 7000 in suppressing colitis in mice induced with 4% DSS. 4% DSS was continuously administered to the mice in the drinking water during the experiment.

A: Change in the body weight of mice in different groups.

B: Survival rates of mice in different groups.

Figure 6 shows the effect of KRN 7000 on experimental autoimmune encephalomyelitis (EAE) induced in C57BL/6 mice, for example, by myelin oligodendroglia protein (MOG). A: Group to which vehicle was administered. B: Group to which KRN 7000 (20  $\mu$ g/kg) was administered. EAE symptoms were scored as follows: Clinical scores: 0: normal, 1: paralysis in tails, 2: static reflex insufficiency, 3: paralysis in back feet, 4: paralysis in front and back feet, 5: death.

Figure 7 shows the effect of KRN 7000 on spontaneous diabetes in NOD mice.

Figure 8 shows the stimulatory activity of KRN 7000 in the proliferation of  $V\alpha 24^+$  NKT cells. After an autologous mixed lymphocyte reaction using peripheral blood mononuclear cells as responding cells,  $CD4^+CD8^-$  cells were recovered to specify the phenotype using labeled antibodies. Dotted lines indicate the fluorescence distribution when stained with control antibodies (mouse IgG or rat IgM) and solid lines indicate the fluorescence distribution when stained with anti-CD3, CD4, CD8, and  $V\alpha 24$ ,  $V\beta 11$  antibodies (Immunotech), and anti-NKRP1A antibodies (Becton Dickinson).

Figure 9 shows stimulatory activity of KRN 7000 in  $V\alpha 24^+$  NKT-cell proliferation. When antigen-presenting cells were treated with KRN 7000, stimulation of proliferation of  $V\alpha 24^+$  NKT cells was observed in a manner dependent on the number of the antigen-presenting cells.

Figure 10 shows the outline of reactions for the synthesis of KRN 7000, the representative  $\alpha$ -glycosylceramide compound used in the present invention. In the drawing, pyr represents pyridine,  $BrPPh_3$  ( $CH_2$ )<sub>12</sub>CH<sub>3</sub> represents tridecanetriphenylphosphonium bromide, n-BuLi represents n-butyl lithium, MsCl represents methanesulfonyl chloride, BnBr represents benzyl bromide, and 1-PrOH represents propyl alcohol.

Figure 11 is the continuation of the reactions for the synthesis as shown in Figure 10. WSC-HCl represents 1-ethyl-3-(3'-dimethylaminopropyl)-carbodiimide hydrochloride, MS4A represents molecular sieves 4A, and Hex4NBr represents tetrahexylammonium bromide.

Figure 12 shows chemical formulas of the compounds in Examples 1 to 3.

## DETAILED DESCRIPTION OF THE INVENTION

### Compounds of formula (I)

**[0018]** In the compounds of formula (I), X in the ceramide moiety preferably represents an integer between 11 and 25.

**[0019]** Y in R<sup>2</sup> preferably represents an integer between 9 and 17, more preferably between 11 and 15.

**[0020]** Preferable combinations for X and R<sup>2</sup> in the ceramide moiety of formula (I) are compounds in which X is an integer between 21 and 25 and R<sup>2</sup> is substituent (b) (wherein Y is an integer between 11 and 15) and compounds in which X is an integer between 9 and 13 and R<sup>2</sup> is the substituent (a) (wherein Y is an integer between 11 and 15).

**[0021]** Preferable combinations for R<sup>3</sup> to R<sup>9</sup> in the sugar moiety of formula (I) are compounds in which R<sup>3</sup> and R<sup>6</sup> are H, R<sup>4</sup> is OH or any substituent of groups (A) to (D), R<sup>5</sup> is OH or any substituent of group (E) or (F), R<sup>7</sup> and R<sup>8</sup> are each H or OH (but R<sup>7</sup> and R<sup>8</sup> are different from one another), and R<sup>9</sup> is CH<sub>2</sub>OH, CH<sub>3</sub>, H or any substituent of groups (A') to (D').

**[0022]** More preferable combinations include compounds in which R<sup>3</sup> and R<sup>6</sup> are H, R<sup>4</sup> and R<sup>5</sup> are OH, R<sup>7</sup> and R<sup>8</sup> are each H or OH (but R<sup>7</sup> and R<sup>8</sup> are different from one another), and R<sup>9</sup> is CH<sub>2</sub>OH or any substituent of groups (A') to (D'), and compounds in which R<sup>3</sup>, R<sup>6</sup> and R<sup>8</sup> are H, R<sup>4</sup>, R<sup>5</sup> and R<sup>7</sup> are OH, and R<sup>9</sup> is CH<sub>2</sub>OH.

**[0023]** Preferable examples of compounds of formula (I) include compounds in which

X is an integer between 21 and 25,

R<sup>2</sup> is substituent (b) (wherein Y is an integer between 11 and 15),

R<sup>3</sup> and R<sup>6</sup> are H,

R<sup>4</sup> is OH or a group selected from the group consisting of groups (A) to (D),

R<sup>5</sup> is OH or a group selected from the group consisting of groups (E) and (F),

R<sup>7</sup> and R<sup>8</sup> are each H or OH (but both R<sup>7</sup> and R<sup>8</sup> are not the same substituent), and

R<sup>9</sup> is CH<sub>2</sub>OH or a group selected from the group consisting of groups (A') to (D'); compounds in which

X is an integer between 9 and 13,

R<sup>2</sup> is substituent (a) (wherein Y is an integer between 11 and 15),

R<sup>3</sup> and R<sup>6</sup> are H,

R<sup>4</sup> and R<sup>5</sup> are OH,

R<sup>7</sup> and R<sup>8</sup> are each H or OH (but both R<sup>7</sup> and R<sup>8</sup> are not the same substituent), and

R<sup>9</sup> is H, CH<sub>3</sub> or CH<sub>2</sub>OH; compounds in which

X is an integer between 21 and 25,

R<sup>2</sup> is substituent (b) (wherein Y is an integer between 11 and 15),

R<sup>3</sup> and R<sup>6</sup> are H,

R<sup>4</sup> and R<sup>5</sup> are OH,

R<sup>7</sup> and R<sup>8</sup> are each H or OH (but both R<sup>7</sup> and R<sup>8</sup> are not the same substituent), and

R<sup>9</sup> is CH<sub>2</sub>OH or a group selected from the group consisting of groups (A') to (D'); and compounds in which

X is an integer between 21 and 25,

R<sup>2</sup> is substituent (b) (wherein Y is an integer between 11 and 15),

R<sup>3</sup>, R<sup>6</sup> and R<sup>8</sup> are H,

R<sup>4</sup>, R<sup>5</sup> and R<sup>7</sup> are OH, and

R<sup>9</sup> is CH<sub>2</sub>OH.

**[0024]** Preferable compounds as effective components of therapeutic agents according to the present invention include

(2S,3S,4R)-1-( $\alpha$ -D-galactopyranosyloxy)-2-hexacosanoylamino-3,4-octadecanediol (KRN 7000),

(2S,3R)-1-( $\alpha$ -D-galactopyranosyloxy)-2-tetradecanoyl amino-3-octadecanol (AGL-517),

(2S,3R)-1-( $\alpha$ -D-glucopyranosyloxy)-2-tetradecanoyl amino-3-octadecanol (AGL-563),

(2S,3R)-1-(6'-deoxy- $\alpha$ -D-galactopyranosyloxy)-2-tetra decanoylamino-3-octadecanol (AGL-571),

(2S,3R)-1-( $\beta$ -L-arabinopyranosyloxy)-2-tetradecanoyl amino-3-octadecanol (AGL-577).

O- $\alpha$ -D-galactopyranosyl-(1 $\rightarrow$ 6)-O- $\alpha$ -D-galactopyranosyl -(1 $\rightarrow$ 1)-(2S,3S,4R)-2-amino-N-hexacosanoyl-1,3,4-octa decanetriol (AGL-586),

O- $\alpha$ -D-galactopyranosyl-(1 $\rightarrow$ 6)-O- $\alpha$ -D-glucopyranosyl-(1 $\rightarrow$ 1)-(2S,3S,4R)-2-amino-N-hexacosanoyl-1,3,4-octade cane triol (AGL-584),

O- $\alpha$ -D-galactopyranosyl-(1 $\rightarrow$ 2)-O- $\alpha$ -D-galactopyranosyl -(1 $\rightarrow$ 1)-(2S,3S,4R)-2-amino-N-[(R)-2-hydroxytetracosa noyl] -1,3,4-octadecanetriol (S1140B-9),

O- $\beta$ -D-galactofuranosyl-(1 $\rightarrow$ 3)-O- $\alpha$ -D-galactopyranosyl -(1 $\rightarrow$ 1)-(2S,3S,4R)-2-amino-N-[(R)-2-hydroxy tetracosa noyl]-1,3,4-octadecanetriol (719-7), and

O-(N-acetyl-2-amino-2-deoxy- $\alpha$ -D-galactopyranosyl-(1 $\rightarrow$ 3)-O-[ $\alpha$ -D-glucopyranosyl-(1 $\rightarrow$ 2)]-O- $\alpha$ -D-galacto pyrano syl-(1 $\rightarrow$ 1)-(2S,3S,4R)-2-amino-N-[(R)-2-hydroxy tetracosanoyl]-1,3,4-octadecanetriol (STL-8).

**[0025]** A particularly preferable compound used as an active ingredient in therapeutic agents according to the present invention is (2S, 3S, 4R)-1-( $\alpha$ -D-galactopyranosyloxy)-2-hexacosanoylamino-3,4-octadecanediol (KRN 7000).

**[0026]** The compounds of formula (I) may be in the form of pharmaceutically acceptable nontoxic salts thereof. Salts of formula (I) include acid added salts, such as salts with inorganic acids (e.g., hydrochloric acid, sulfuric acid, nitric acid and phosphoric acid) or with organic acids (e.g., acetic acid, propionic acid, maleic acid, oleic acid, palmitic acid, citric acid, succinic acid, tartaric acid, fumaric acid, glutamic acid, pantothenic acid, laurylsulfonic acid, methanesulfonic acid and phthalic acid).

**[0027]** The compounds of formula (I) may be in the form of solvates thereof (e.g., hydrates).

**[0028]** The compounds of formula (I) can be produced by any purposive method to synthesize  $\alpha$ -glycosylceramides.

**[0029]** First, a ceramide moiety is synthesized using D-lyxose as a starting material, then a sugar is introduced into this ceramide to prepare compounds of formula (I). A general method to synthesize such  $\alpha$ -glycosylceramides can be found, for example, in WO93/5055, WO94/2168, WO/9020 and WO94/24142.

**[0030]** The compounds of formula (I) can also be isolated from natural products (e.g., biological organisms) and purified by column chromatography or the like.

#### Use of compounds of formula (I)

**[0031]** The present inventors have found that antitumor cytotoxic activity of NKT cells against tumor cells was enhanced when KRN 7000, a representative compound according to the present invention, was administered to RAG-1KO/V $\alpha$ 14tg/V $\beta$ 8.2tg mice (Pharmacological Test Example 1).

**[0032]** The present inventors have found that  $\alpha$ -glycosylceramides markedly increase the number of NKT cells, particularly V $\alpha$ 14<sup>+</sup> NKT cells and V $\alpha$ -24<sup>+</sup> NKT cells (Pharmacological Test Examples 2, 6 and 9). Mouse V $\alpha$ 14<sup>+</sup> NKT cells and human V $\alpha$ 24<sup>+</sup> NKT cells have been shown to be involved in various autoimmune diseases caused by different causative genes and genetic background as suggested by findings that V $\alpha$ -14<sup>+</sup> NKT cells decrease in autoimmune dis-



ease model mice, that  $V\alpha 24^+ J\alpha Q\alpha$  T cells disappear in sclerosis patients, and that  $V\alpha 24^+$  NKT cells greatly decrease in patients with advanced type I diabetes (Mieza, M.A. et al., J. Immunol., 156, 4035 (1996); Makino, Y. et al., Clin. Immunol., 28, 1487 (1996); Sumida, T. et al., J. Exp. Med., 182, 1163 (1995); Wilson et al., Nature, 391, 177 (1998)). The present inventors also found that when KRN 7000 was administered to MRL lpr/lpr mice, which are considered model mice for human systemic lupus erythematosus (Sakamoto, A. Clin. Immunol., 28, 1558 (1996)), abnormal swelling of axillary and inguinal lymph nodes (accumulation of abnormal lymphocytes) was suppressed (Pharmacological Test Example 3). The abnormal swelling of lymph nodes is a characteristic symptom observed in MRL lpr/lpr mice with aging.

**[0033]** Accordingly, as the first aspect of the present invention, the compound of formula (I), or a salt or a solvate thereof can be used as agents for activating NKT cells. "NKT cells" as used herein include human  $V\alpha 24^+$  NKT cells and mouse  $V\alpha 14^+$  NKT cells. Human  $V\alpha 24^+$  NKT cells are a subset of human  $V\alpha 24J\alpha Q\alpha$  T cells and mean  $V\alpha 24^+$  double negative ( $CD4^+CD8^-$ ) T cells (Dellabona, P. et al., J. Exp. Med., 180, 1171 (1994)). Furthermore, the term "NKT cell activation" or "activating NKT cell" includes enhancement of cytotoxic activity and stimulation of NKT cell proliferation.

**[0034]** As the second aspect of the present invention, the compound of formula (I), or a salt or a solvate thereof can be used as therapeutic agents for autoimmune diseases. The term "autoimmune diseases" as used herein include systemic lupus erythematosus, systemic sclerosis, ulcerative colitis, multiple sclerosis, encephalomyelitis, type I diabetes, chronic articular rheumatism, Sjogren's syndrome, primary biliary cirrhosis, idiopathic thrombocytopenic purpura, autoimmune hemolytic anemia, myasthenia gravis, sympathetic ophthalmia, Goodpasture's syndrome (e.g., glomerular nephritis), pernicious anemia, and Hashimoto's disease. The term "treatment" or "therapy" as used herein includes "prevention".

**[0035]** The compound of formula (I) and IL-12 induce abortion in pregnant mice (Pharmacological Test Example 10). Accordingly, as the third aspect of the present invention, the compound of formula (I) or a salt or a solvate thereof and IL-12 can be used as an agent for inducing abortion. The compound of formula (I) and IL-12 can be administered not only to pregnant animals but also to animals that can get pregnant. Pregnancy can be suppressed by administering the compound of formula (I) or IL-12 in advance to animals that can get pregnant. Accordingly, the term "agents for inducing abortion" means "contraceptives".

**[0036]** The compound of formula (I) or a salt or a solvate thereof and IL-12 can be formulated into suitable dosage forms depending on the medical treatment, administration route, and purpose of administration, e.g., injectable agents, suspensions, emulsions, ointments, creams, tablets, capsules, granules, powders, pills, grains, troches, formulations for rectal administration, oily suppositories and water-soluble suppositories.

**[0037]** These various pharmaceutical formulations can be prepared by conventional methods using the following pharmaceutically acceptable vehicles or the like: excipients such as solvents (e.g., water, physiological saline), bulking agents and filling agents (e.g., lactose, starch, crystalline cellulose, mannitol, maltose, calcium hydrogenphosphate, soft silicic acid anhydride and calcium carbonate); auxiliaries such as solubilizing agents (e.g., ethanol and polysolvates), binding agents (e.g., starch, polyvinyl pyrrolidone, hydroxypropyl cellulose, ethylcellulose, carboxymethyl cellulose and gum arabic), disintegrating agents (e.g., starch and carboxymethyl cellulose calcium), lubricating agents (e.g., magnesium stearate, talc and hydrogenated oil), stabilizing agents (e.g., lactose, mannitol, maltose, polysolvates, macrogol, and polyoxyethylene hydrogenated castor oil), isotonic agents, wetting agents, lubricating agents, dispersing agents, buffering agents and solubilizing agents; and additives such as antioxidants, preservatives, flavoring and aromatizing agents, analgesic agents, stabilizing agents, coloring agents and sweetening agents.

**[0038]** If necessary, glycerol, dimethylacetamide, 70% sodium lactate, surfactants and alkaline substances (e.g., ethylenediamine, ethanol amine, sodium carbonate, arginine, meglumine and trisaminomethane) can also be added to various pharmaceutical formulations.

**[0039]** In the present invention, the compound of formula (I) and IL-12 can be administered via any purposive routes, for example, in the case of animals, intraperitoneal or subcutaneous administration, intravenous or intra-arterial administration and local administration by injection. Furthermore, in the case of humans, intravenous or intra-arterial administration, local administration by injection, intraperitoneal or intrathoracic administration, subcutaneous administration, intramuscular administration, sublingual administration, percutaneous absorption or rectal administration can be used. Intravenous administration is most preferable.

**[0040]** Individual effective components in therapeutic agents of the present invention can be administered continuously or intermittently depending on individual situations. Actual doses are determined depending on a variety of factors such as the methods of administration, the conditions of the patient, such as age, body weight, sex and sensitivity, time of administration, and other medicaments taken in combination. A daily dose of compounds of formula (I) and IL-12 for an adult human, for example for intravenous administration, is generally between about 0.001 and 10 mg, preferably between 0.01 and 1 mg. The compound of formula (I) is preferably formulated into freeze-dried preparations, which is preferably dissolved with injection-grade distilled water immediately before administration to patients.

**[0041]** The present invention provides methods of treating autoimmune diseases, which comprises the step of administering NKT cells activated by the compound of formula (I), or a salt or a solvate thereof (activated NKT cells) to mam-

mals, including humans.

[0042] Activated NKT cells can be obtained by culturing NKT cells in vitro in the presence of the compound of formula (I), or a salt or a solvate thereof. Furthermore, activated NKT cells can be isolated from the mammal body to which compounds of formula (I) are administered.

5 [0043] NKT cells, which are to be cultured in vitro with compounds of formula (I), can be isolated from healthy humans, patients or suspected sufferers. For human treatment, NKT cells are preferably human  $V\alpha 24^+$  NKT cells.

[0044] Activated NKT cells can be administered to mammals by implanting the activated NKT cells in the animal's body, for example, the vein.

10 [0045] The present invention provides methods for activating NKT cells, which comprise the step of culturing NKT cells in vitro in the presence of a compound of formula (I), or a salt or a solvate thereof.

[0046] The present invention provides methods for inducing abortion, which comprise the step of administering the compound of formula (I) or a salt or a solvate thereof, or IL-12 to mammals, including humans.

## EXAMPLES

15 [0047] The present invention is further illustrated by the following examples that are not intended as a limitation of the invention.

20 Synthesis, isolation and purification of compounds Example 1: Synthesis of (2S,3S,4R)-1-( $\alpha$ -D-galactopyranosyloxy)-2-hexacosanoylamino-3,4-octadecanediol (KRN 7000)

[0048] The synthesizing steps are shown in Figures 10 and 11.

### (1) Synthesis of compound G1

25 [0049] Sulfuric acid (0.5 ml) was added to a solution of D-lyxose (200 g, 1.33 mol) in acetone (3.0 L), which had been dried with calcium chloride, and the admixture was stirred for 18 hours at a room temperature. Molecular sieves 4A powder (100 g) was added, the reaction mixture was neutralized, then filtered with Celite, and the resulting residue was washed with acetone. The filtrate and the wash were combined and concentrated under vacuum to obtain a crude product of G1. Yield 240 g (95%). The product was used for the next step without further purification. A sample for assay  
30 was purified by silica gel chromatography using hexane:acetone (9:1) as the eluting solvent.

mp 76-78°C; FDMS m/z 191(M+1)<sup>+</sup>; <sup>1</sup>H-NMR(500MHz,CDCl<sub>3</sub>)  
85.45(1H,d,J=1.8Hz), 4.83(1H,dd,J=3.7,5.5Hz), 4.64(1H,d,J=6.1Hz), 4.27-4.30(1H,m), 3.90-  
35 3.99(2H,m), 1.48(3H,s), 1.32(3H,s)

### (2) Synthesis of compound G2

40 [0050] Pyridine (10 ml) and trityl chloride (39.0 g) were added to a methylene chloride solution (168 ml) of compound G1 (239 g, about 1.26 mmol), and the admixture was stirred for 4 hours at 32°C. Ethanol (8 ml) was added dropwise, and the admixture was stirred for 2 hours at a room temperature. After washing with an aqueous saturated ammonium chloride solution, an aqueous saturated sodium hydrogencarbonate solution and a saline solution, concentration under vacuum was carried out. The resulting residue was dissolved in ethyl acetate, cooled to 0 °C and then crystallized. Yield 501 g (87% from D-lyxose).

45 mp 174-176°C; FDMS m/z 432M<sup>+</sup>; <sup>1</sup>H-NMR(500MHz,CDCl<sub>3</sub>) 87.21-7.49(15H,m), 5.38(1H,d,J=2.4Hz),  
4.75(1H,dd,J=3.7,6.1Hz), 4.59(1H,d,J=6.1Hz), 4.31-4.35(1H,m), 3.43(1H,dd,J=4.9,  
9.8Hz), 3.39(1H,dd,J=6.7,9.8Hz), 1.29(3H,s), 1.28(3H,s)

### 50 (3) Synthesis of compound G3

[0051] To a THF solution (1500 ml) of tridecanetriphenylphosphonium bromide (962 g, 1.16 mol; prepared by heating 1-bromotridecane and triphenylphosphine for 4.5 hours at 140 °C), a 2.5 M hexane solution of n-butyl lithium (462 ml, 366 mmol) was added dropwise at 0 °C under an argon atmosphere. The admixture was stirred for 15 minutes, then a  
55 THF solution (450 ml) of compound G2 (250 g, 579 mmol) was added dropwise. This admixture was stirred for 18 hours while gradually raising the temperature to room temperature. The reaction solution was concentrated under vacuum, a mixture of hexane:methanol:water (10:7:3, 1000 ml) was added to the residue, and the admixture was washed with an aqueous saturated ammonium chloride solution. The water layer was extracted with hexane (500 ml). All the organic

layers were combined, dried over anhydrous magnesium sulfate, and then concentrated under vacuum to obtain a crude product of compound G3. The product was used for the next step without further purification. Yield 339 g (98%). A sample for assay was purified by silica gel chromatography using hexane:ethyl acetate (9:1) as the eluting solvent.

5 FDMS m/z 598M<sup>+</sup>; <sup>1</sup>H-NMR(500MHz,CDCl<sub>3</sub>)δ7.21-7.45(15H,m), 5.48-5.59(2H,m),4.91(0.7H,t,J=7.3Hz),4.44(0.3H,t,J=7.3Hz), 4.26(0.3H,dd,J=4.3,7.3Hz),4.21(0.7H,dd,J=4.3,6.7Hz), 3.75(0.7H,m),3.69(0.3H,m),3.24(0.3H,dd,J=4.9,9.8Hz),3.17 (0.7H,dd,J=4.9,9.8Hz),3.09-3.14[1H,(3.11,dd,J=4.9,9.2Hz), H1bEoverlapped],1.75-2.03(2H,m),1.49(3H,s),1.39 and 1.38 (3H,each s),1.21-1.34 (20H,m),0.88(3H,t,J=6.7Hz)

10

#### (4) Synthesis of compound G4

[0052] To a methylene chloride solution (1500 ml) of compound G3 (338 g, about 565 mol), pyridine (500 ml) was added, and methanesulfonyl chloride (49 ml, 633 mmol) was added dropwise. The admixture was stirred for 24 hours at 31 °C. Ethanol (40 ml) was added dropwise and the admixture was stirred for 1 hour at a room temperature. After concentration under vacuum, a mixture of hexane:methanol:water (10:7:3, 1000 ml) was added to the residue for separation. The water layer was extracted 3 times with hexane (200 ml). All the organic layers were combined, dried over anhydrous magnesium sulfate, and then concentrated under vacuum to obtain a crude product of compound G4. The product was used for the next step without further purification. Yield 363 g (95%). A sample for assay was purified by silica gel chromatography using hexane:ethyl acetate (9:1) as the eluting solvent.

20

FDMS m/z 676M<sup>+</sup>; <sup>1</sup>H-NMR(500MHz,CDCl<sub>3</sub>)δ7.21-7.47(15H,m), 5.41(0.7H,ddd,J=5.5,9.2,11.0Hz),5.32(0.7H,dt,J=11.0Hz),5.22(0.3H,bdd,J=9.2,15.0Hz),5.02(0.3H,dt,J=7.3Hz,Jd=15.0Hz), 4.8(0.7H,ddd,J=3.1,5.5,7.9Hz),4.73(0.7H,dd,J=5.5,9.8Hz), 4.64-4.67(0.3H,m),4.61(0.3H,dd,J=5.5,9.2Hz), 4.48(0.7H,dd,J=5.5,7.9Hz),4.22(0.3H,dd,J=5.5,9.2Hz), 3.55(0.3H,dd,J=2.4,11.6Hz),3.45(0.7H,dd,J=3.2,11.0Hz), 3.06-3.12[4H,(3.12,s),(3.11,s),(3.09,dd,J=3.1,11.0Hz)], 1.66-1.82(2H,m),1.47 and 1.46(3H,each s),1.39(3H,s), 1.13-1.35(20H,m),0.88(3H,t,J=6.8Hz)

25

#### (5) Synthesis of compound G5

[0053] To a methylene chloride solution (1500 ml) of compound G4 (362 g, about 536 mol), methanol (350 ml) was added, then concentrated hydrochloric acid (200 ml) was added dropwise. The admixture was stirred for 5 hours at a room temperature. The reaction solution was neutralized by adding sodium hydrogencarbonate, then filtered. The filtrate was concentrated under vacuum and ethyl acetate was added to the resulting residue and washing was carried out with a saline solution. The water layer was extracted with ethyl acetate, all the organic layers were combined, dried over anhydrous magnesium sulfate, then concentrated under vacuum. Crystallization was carried out with hexane. Yield 161 g (70% from G2).

35

40 mp66-67°C;FDMS m/z 377(M-H<sub>2</sub>O)<sup>+</sup>; <sup>1</sup>H-NMR(500MHz,CDCl<sub>3</sub>+D<sub>2</sub>O) δ5.86(0.3H,dt,Jt=7.3Hz,Jd=14.7Hz),5.77(0.7H,dt,Jt=7.3,Jd=10.4Hz),5.55(0.3H,br.dd,J=7.3,14.7Hz),5.49(0.7H,dt,J=9.8Hz), 4.91-4.97(1H,m),4.51(0.7H,dt,J=9.8Hz),4.11(0.3H,dt,J=7.3Hz),3.94-4.03(2H,m),3.67-3.73[1H,(3.70,dd,J=3.1,6.7Hz),(3.69,dd,J=3.1,7.3Hz)],3.20 and 3.19(3H,each s),2.05-2.22(2H,m),1.22-1.43(20H,m),0.88(3H,t,J=6.7Hz)

45

#### (6) Synthesis of compound G6

[0054] To a THF solution (780 ml) of compound G5 (160 g, about 405 mol), 5% palladium-barium sulfate (16 g) was added. After replacing the air in a reaction chamber with hydrogen gas, the admixture was stirred for 20 hours at a room temperature. The reaction solution was filtered using Celite, then washed with a mixture of chloroform:methanol (1:1). The filtrate and wash were combined and concentrated under vacuum. The resulting residue was crystallized with ethyl acetate. Yield 146 g (91%).

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55 [α]<sub>D</sub><sup>23</sup>+12° (c1,CHCl<sub>3</sub>/MeOH=1:1);mp124-126°C;FDMS m/z 397(M+1)<sup>+</sup>; <sup>1</sup>H-NMR(500MHz,CDCl<sub>3</sub>/CD<sub>3</sub>OD=1:1)δ4.93-4.96(1H,m), 3.91(1H,dd,J=6.7,12.2Hz),3.85(1H,dd,J=4.9,12.2Hz),3.5 4-3.60(1H,m),3.50 (1H,dd,J=1.8,8.5Hz), 3.19 (3H,s),1.75-1.83(1H,m),1.53-1.62(1H,m),1.21-1.45(24H,m),0.89 (3H,t,J=6.7Hz)

## (7) Synthesis of compound G7

[0055] To a DMF solution (1000 ml) of compound G6 (145 g, 365 mol), sodium azide (47 g, 730 mmol) was added, and the admixture was stirred for 4 hours at 95 °C. The reaction solution was concentrated, ethyl acetate was added to the resulting residue and washing was carried out with water. The water layer was extracted again with ethyl acetate. All the organic layers were combined, washed with a saline solution, dried over anhydrous magnesium sulfate, and then concentrated under vacuum to obtain a crude product of compound G7. Yield 122 g (97%). The product was used for the next step without further purification. Yield 126 g (95%). A sample for assay was purified by silica gel chromatography using hexane:ethyl acetate (9:1) as the eluting solvent.

[ $\alpha$ ]<sub>D</sub><sup>23</sup>+165° (c0.5, CHCl<sub>3</sub>-MeOH, 1:1); mp 92-93°C; FDMS m/z 344(M+1)<sup>+</sup>; <sup>1</sup>H-NMR(500MHz, CD<sub>3</sub>OD)  $\delta$ 3.91(1H, dd, J=3.7, 11.6Hz), 3.75 (1H, dd, J=7.9, 11.6Hz), 3.49-3.61(3H, m), 1.50-1.71(2H, m), 1.22-1.46(24H, m), 0.90(3H, t, J=6.7Hz)

## (8) Synthesis of compound G8

[0056] To a methylene chloride solution (750 ml) of compound G7 (121 g, about 352 mmol), pyridine (250 ml) and trityl chloride (124 g, 445 mmol) were added, and the admixture was stirred for 16 hours at a room temperature. Ethanol (30 ml) was added dropwise. The admixture was stirred for 30 minutes at a room temperature, washed with an aqueous saturated sodium hydrogencarbonate solution, an aqueous saturated ammonium chloride solution and a saline solution, dried over anhydrous magnesium sulfate, and then concentrated under vacuum. The residue was purified by silica gel chromatography using hexane:ethyl acetate (10:1) as the eluting solvent. Yield 34.4 g (52% from G6).

[ $\alpha$ ]<sub>D</sub><sup>24</sup>+11.9° (c0.9, CHCl<sub>3</sub>), FDMS m/z 585M<sup>+</sup>; <sup>1</sup>H-NMR(500MHz, CDCl<sub>3</sub>+D<sub>2</sub>O)  $\delta$ 7.24-7.61(15H, m), 3.62-3.66(2H, m), 3.51-3.57(2H, m), 3.42(1H, dd, J=6.0, 10.4Hz), 1.23-1.56(26H, m), 0.88(3H, t, J=6.7Hz)

## (9) Synthesis of compound G9

[0057] To a DMF solution (300 ml) of compound G8 (33.5 g, 57.3 mmol), 60% hydrogenated sodium (5.5 g, about 138 mmol as NaH) was added, and the admixture was stirred for 40 minutes at a room temperature. The reaction solution was cooled to 0 °C and benzyl chloride (15 ml, 120 mmol) was added dropwise. The admixture was stirred for 18 hours while gradually raising the temperature to a room temperature. Ice water (100 ml) was added to the reaction solution. After the reaction was stopped, extraction was carried out using ethyl acetate. The extract was washed 3 times with a saline solution, and all the organic layers were combined, dried over anhydrous magnesium sulfate, and then concentrated under vacuum to obtain a crude product of compound G9. The product was used for the next step without further purification. Yield 42.2 g (96%). A sample for assay was purified by silica gel chromatography using hexane:ethyl acetate (100:1) as the eluting solvent.

[ $\alpha$ ]<sub>D</sub><sup>24</sup>+9.8° (c1.0, CHCl<sub>3</sub>), FDMS m/z 738(M-N<sub>2</sub>)<sup>+</sup>; <sup>1</sup>H-NMR(500MHz, CDCl<sub>3</sub>)  $\delta$ 7.07-7.48(25H, m), 4.57(1H, d, J=11.6Hz), 4.44(1H, d, J=11.6Hz), 4.41(2H, s), 3.73-3.79(1H, m), 3.46-3.56(2H, m), 3.37(1H, dd, J=8.6, 10.4Hz), 1.20-1.64(26H, m), 0.88(3H, t, J=6.7Hz)

## (10) Synthesis of compounds G10 and G11

[0058] To a 1-propanol solution (250 ml) of compound G9 (41.2 g, about 54 mmol), methanol (30 ml) was added, and further 5% palladium carbon (4.1 g) and ammonium formate (27.1 g, 4.3 mol) were added. After stirring for 16 hours at a room temperature, the admixture was diluted with ethyl acetate and filtered with Celite. The filtrate was concentrated under vacuum, and the resulting residue was dissolved with ethyl acetate and washed 3 times with an aqueous saturated sodium hydrogencarbonate solution and a saline solution. All the organic layers were combined, dried over anhydrous magnesium sulfate, and then concentrated under vacuum to obtain a crude product of G10. Yield 38.9 g (98%). G10 thus obtained was used for the next step without further purification.

[0059] To a methylene chloride solution (300 ml) of compound G10, hexacosanoic acid (22.4 g, 56.5 mmol) and WSC hydrogenchloride (12.6 g, 64.6 mmol) were added, and the admixture was fluxed for 2 hours while heating. The mixture was cooled to room temperature and concentrated under vacuum. Ethyl acetate (500 ml) was added to the residue, and washing was carried out with an aqueous 0.5 M hydrochloric acid solution, a saline solution, and an aqueous saturated sodium hydrogencarbonate solution, and further with a saline solution. All the organic layers were combined, dried over anhydrous magnesium sulfate, and then concentrated under vacuum to obtain a crude product of compound G11. Yield 53.2 g (88%). G11 thus obtained was used for the next step without further purification. A sample for assay was purified

by silica gel chromatography using hexane:ethyl acetate (100:1) as the eluting solvent.

[  $\alpha$  ]<sup>24</sup><sub>D</sub>+5.3° (c0.4,CHCl<sub>3</sub>);FDMS m/z 1118M<sup>+</sup>; <sup>1</sup>H-NMR(500MHz, CDCl<sub>3</sub>) $\delta$ 7.20-7.38(25H,m),5.57(1H,d,J=9.1Hz),4.80(1H,d,J=11.6Hz),4.48-4.50(3H,m),4.24-4.32(1H,m),3.83(1H,dd,J=3.0,6.7Hz),3.43-3.51(2H,m,H1a),3.29(1H,dd,J=4.3,9.8Hz),1.92(2H,t,J=7.3Hz),1.28-1.60(72H,m),0.88(6H,t,J=6.7Hz)

#### (11) Synthesis of compound G12

**[0060]** To a methylene chloride solution (180 ml) of compound G11 (52.2 g, about 47 mmol), methanol (36 ml) was added, then a 10% methanol chloride solution (3.0 ml) was added dropwise, and the admixture was stirred for 2 hours at a room temperature. The reaction solution was neutralized with sodium hydrogencarbonate powder (18 g) and filtered with Celite. The residue was washed with methylene chloride. The filtrate and wash were combined and washed with a saline solution. The organic layer was dried over anhydrous magnesium sulfate, and then concentrated under vacuum. The residue was dissolved in acetone while heating, and the solution was cooled to 0 °C and purified by precipitation. Yield 38.6 g (77% from G9).

[  $\alpha$  ]<sup>24</sup><sub>D</sub>-29.7° (c0.7,CHCl<sub>3</sub>);mp75-76.5°C;FDMS m/z 876M<sup>+</sup>; <sup>1</sup>H-NMR (500MHz,CDCl<sub>3</sub>) $\delta$ 7.30-4.7(10H,m),6.03(1H,d,J=7.9Hz),4.72(1H,d,J=11.6Hz),4.66(1H,d,J=11.6Hz),4.61(1H,d,J=11.6Hz),4.45(1H,d,J=11.6Hz),4.12-4.17(1H,m),4.00(1H,dt,Jt=4.3, Jd=7.3Hz),3.67-3.72(2H,m),3.61(1H,ddd,J=4.3,8.6,11.6Hz),1.94-2.05(2H,m),1.15-1.69 (72H,m),0.88(6H,t,J=6.1Hz)

#### (12) Synthesis of compound G13

##### **[0061]**

1) 2,3,4,6-tetra-O-benzyl-D-galactopyranosylacetate (79.8 g) was dissolved in a mixture of toluene (160 ml) and isopropyl ether (520 ml), and the solution was cooled to -10 to 0 °C. To this solution, an isopropyl ether solution (2.8 mmol/ml, about 100 ml) containing 2.0 equivalent volumes of HBr was added. After stirring for about 90 minutes at -10 to 0 °C, an aqueous 5% sodium hydrogencarbonate solution was poured into the reaction solution, and excessive HBr was neutralized by stirring. The whole volume was transferred into a separation funnel for separation, then the water layer was discarded and washing was carried 2 times with an aqueous 10% sodium chloride solution. After concentration under vacuum, 2,3,4,6-tetra-O-benzyl- $\alpha$ -D-galactopyranosyl bromide (Ga1Br) was obtained as a syrup.

2) DMF (140 ml), then a toluene solution (250 ml) of Ga1Br (about 137 mmol) were added to a toluene solution (420 ml) of compound G12 (60.0 g, 68.6 mmol), tetrahexylammonium bromide (89.4 g, 206 mmol) and molecular sieves 4A (60 g). The admixture was stirred for 72 hours at a room temperature. Methanol (12 ml) was added to the reaction solution, and the admixture was stirred for 2 hours. Filtration with Celite and washing with an aqueous saturated sodium hydrogencarbonate solution and a saline solution were followed by drying on anhydrous magnesium sulfate and concentration under vacuum. Acetonitrile was added to the resulting residue and the admixture was stirred for 2 hours. The resulting precipitate was dried under vacuum to obtain a dry powder. This powder was purified by silica gel chromatography using hexane:ethyl acetate (8:1) as the eluting solvent. Yield 70.9 g (74%).

[  $\alpha$  ]<sup>24</sup><sub>D</sub>+18.8° (c0.9,CHCl<sub>3</sub>);mp74-75°C;FDMS m/z 1399(M+1)<sup>+</sup>; <sup>1</sup>H-NMR(500MHz,CDCl<sub>3</sub>) $\delta$ 7.21-7.37(30H,m),6.12(1H,d,J=9.0Hz),4.91(1H,d,J=11.6Hz),4.84(1H,d,J=3.7Hz),4.72-4.80(4H,m),4.35-4.65(7H,m),4.12-4.18 (1H,m),3.99-4.05(2H,m),3.84-3.93(4H,m),3.73(1H,dd,J=3.7, 11.0Hz),3.47-3.51(2H,m),3.42(1H,dd,J=6.1,9.1Hz),1.87-1.99 (2H,m),1.18-1.70(72H,m),0.88(6H,t,J=7.4Hz)

#### (13) Synthesis of compound KRN 7000

**[0062]** Compound G13 (60.0 g, 42.9 mmol) was added to ethanol (960 ml) to make a suspension, to which an ethanol suspension of 20% hydroxy palladium (6.0 g) was added. Further, a hydrogen source, 4-methylcyclohexene (120 ml, 93.5 mmol) was added. After fluxing for 4 hours while heating, filtration was carried out, and the solvent was removed. The residue was washed with heated ethanol. The filtrate was allowed to stand at a room temperature to obtain a white precipitate, and the precipitate was filtered and dried under vacuum. The resulting powder was suspended in ethanol:water (92:8, 3.5 L) and dissolved by heat while stirring. The solution was allowed to stand to obtain a precipitate again. The solution with the precipitate was filtered, and the filtrated cake was dried under vacuum to obtain a white powder. Yield 35.0 g (95%).

[ $\alpha$ ]<sub>D</sub><sup>23</sup>+43.6 ° (c1.0, pyridine); mp 189.5-190.5°C; negative FABMS m/z 857(M-H)<sup>-</sup>; IR (cm<sup>-1</sup>, KBr) 3300, 2930, 2850, 1640, 1540, 1470, 1070; <sup>1</sup>H-NMR (500MHz, C<sub>5</sub>D<sub>5</sub>N)  $\delta$  8.47(1H, d, J=8.5Hz), 5.58(1H, d, J=3.7Hz), 5.27(1H, m), 4.63-4.70(2H, m), 4.56(1H, m), 4.52(1H, t, J=6.1Hz), 4.37-4.47(4H, m), 4.33(2H, m), 2.45(2H, t, J=7.3Hz), 2.25-2.34(1H, m), 1.87-1.97(2H, m), 1.78-1.85(2H, m), 1.62-1.72(1H, m), 1.26-1.45(6H, m), 0.88(6H, t, J=6.7Hz), <sup>13</sup>C-NMR (125MHz, C<sub>5</sub>D<sub>5</sub>N)  $\delta$  173.2(s), 101.5(d), 76.7(d), 73.0(d), 72.5(d), 71.6(d), 71.0(d), 70.3(d), 68.7(t), 62.7(t), 51.4(d), 36.8(t), 34.4(t), 32.1(t), 30.4(t), 30.2(t), 30.03(t), 30.00(t), 29.93(t), 29.87(t), 29.81(t), 29.76(t), 29.6(t), 26.5(t), 26.4(t), 22.9(t), 14.3(q)

**Example 2: Isolation and purification of O- $\alpha$ -D-galactopyranosyl-(1 $\rightarrow$ 2)-O- $\alpha$ -D-galactopyranosyl-(1 $\rightarrow$ 1)-(2S,3S,4R)-2-amino-N-[(R)-2-hydroxytetracosanoyl]-1,3,4-octadecanetriol (S1140B-9)**

**[0063]** A freeze dried powder (447.1 g) of sponges, which were harvested at a depth of 15-25 m from the sea near Kume Island of Okinawa Prefecture, was extracted with a mixture of chloroform and methanol, then the extracted liquid was concentrated under vacuum to obtain 51.28 g of extract. The extract was partitioned with ethyl acetate and water, and the upper layer and the middle layer were dried over anhydrous sodium sulfate and concentrated under vacuum to obtain 18.37 g and 9.44 g of fractions, respectively. An alcohol layer, which was obtained by partitioning the fraction obtained from the upper layer with 10% aqueous methanol and n-hexane, and the fraction obtained from the middle layer were combined and concentrated. By repeating silica gel chromatography, 169.9 mg of a single active component on normal phase TLC was obtained. Further purification was carried out by reversed phase HPLC using an ODS-AM column (a product of YMC, 250 mm x 20 mm diameter, methanol, 9.0 ml/min) (retention time: 30.3 minutes) to obtain 10.2 mg of the purified title compound (S1140B-9).

**[0064]** The title compound can also be isolated and purified by the method described in F. Cafieri et al., Liebigs Ann. Chem. 1995, 1477-1481.

negative FABMS m/z 1007[(M-H)<sup>-</sup>]; IR; <sup>1</sup>H-NMR (500MHz, C<sub>5</sub>D<sub>5</sub>N, 24°C)  $\delta$ (ppm) 8.55(1H, d, J=9.2Hz, NH), 5.60(1H, d, J=3.7Hz, H1''), 5.57(1H, d, J=3.7Hz, H1'''), 5.13(1H, m, H2), 4.75(1H, dd, J=3.7, 10.4Hz, H2''), 4.62(2H, m), 4.54(4H, m), 4.25-4.47(10H, m), 2.17(2H, m), 1.99(1H, m), 1.87(2H, m), 1.75(1H, m), 1.65(2H, m), 1.12-1.49(60H, m), 0.85(6H, m, terminal methyl); <sup>13</sup>C-NMR (125MHz, C<sub>5</sub>D<sub>5</sub>N, 45°C)  $\delta$ (ppm) 175.5(s, C1'), 99.5(d, C1''), 98.6(d, C1'''), 76.7(d, C2''), 76.0(d, C3), 72.8(d, C4), 72.6(d, C5''), 72.6(d, C4''), 72.5(d, C2), 71.3(d, C3'''), 71.0(d), 70.8(d), 70.5(d, C2'''), 69.7(d, C3''), 68.6(t, C1), 62.7(t), 62.5(t), 51.2(t, C2), 39.4(t), 35.6(t), 33.7(t), 32.2(t), 30.5(t), 30.3(t), 30.1(t), 30.0(t), 29.7(t), 29.6(t), 26.7(t), 26.0(t), 23.0(t), 22.9(t), 14.3(q, terminal methyl)

**Example 3**

**[0065]** The following compounds were synthesized according to the methods described in the references given on the right column.

Compound name	Reference
(2S,3R)-1-( $\alpha$ -D-galactopyranosyloxy)-2-tetradecanoylamino-3-octadecanol (AGL-517)	WO93/5055
(2S,3R)-1-( $\alpha$ -D-glucopyranosyloxy)-2-tetradecanoylamino-3-octadecanol (AGL-563)	WO94/9020
(2S,3R)-1-(6'-deoxy- $\alpha$ -D-galactopyranosyloxy)-2-tetradecanoylamino-3-octadecanol (AGL-571)	WO94/9020
(2S,3R)-1-( $\beta$ -L-arabinopyranosyloxy)-2-tetradecanoylamino-3-octadecanol (AGL-577)	WO94/9020
O- $\alpha$ -D-galactopyranosyl-(1 $\rightarrow$ 6)-O- $\alpha$ -D-galactopyranosyl-(1 $\rightarrow$ 1)-(2S,3S,4R)-2-amino-N-hexacosanoyl-1,3,4-octadecanetriol (AGL-586)	WO94/24142
O- $\alpha$ -D-galactopyranosyl-(1 $\rightarrow$ 6)-O- $\alpha$ -D-glucopyranosyl-(1 $\rightarrow$ 1)-(2S,3S,4R)-2-amino-N-hexacosanoyl-1,3,4-octadecanetriol (AGL-584)	WO94/24142
O- $\alpha$ -D-galactofuranosyl-(1 $\rightarrow$ 3)-O- $\alpha$ -D-galactopyranosyl-(1 $\rightarrow$ 3)-O- $\alpha$ -D-galactopyranosyl-(1 $\rightarrow$ 1)-(2S,3S,4R)-2-amino-N-[(R)-2-hydroxytetracosanoyl]-1,3,4-octadecanetriol (719-7)	WO94/24142

(continued)

Compound name	Reference
O-(N-acetyl-2-amino-2-deoxy- $\alpha$ -D-galactopyranosyl-(1 $\rightarrow$ 3)-O-[ $\alpha$ -D-glucopyranosyl-(1 $\rightarrow$ 2)]-O- $\alpha$ -D-galactopyranosyl-(1 $\rightarrow$ 1)-(2S, 3S, 4R)-2-amino-N-[(R)-2-hydroxy tetracosanoyl]-1,3,4-octadecanetriol(STL-8)	WO94/24142

**[0066]** Relations between compounds of formula (I) and the compounds described in the example mentioned above are shown in Table 1.

Table 1

	X	R1	R2	R3	R4	R5	R6	R7	R8	R9
KRN7000	23	H	(b)Y=13	H	OH	OH	H	OH	H	CH <sub>2</sub> OH
AGL517	11	H	(a)Y=13	H	OH	OH	H	OH	H	CH <sub>2</sub> OH
AGL563	11	H	(a)Y=13	H	OH	OH	H	H	OH	CH <sub>2</sub> OH
AGL571	11	H	(a)Y=13	H	OH	OH	H	OH	H	CH <sub>3</sub>
AGL577	11	H	(a)Y=13	H	OH	OH	H	OH	H	H
AGL586	23	H	(b)Y=13	H	OH	OH	H	OH	H	Group(A')
AGL584	23	H	(b)Y=13	H	OH	OH	H	H	OH	Group(A')
S1140B-9	21	OH	(b)Y=13	H	Group(A)	OH	H	OH	H	CH <sub>2</sub> OH
719-7	21	OH	(b)Y=13	H	OH	Group(E)	H	OH	H	CH <sub>2</sub> OH
STL-8	23	OH	(b)Y=13	H	Group(B)	Group(F)	H	OH	H	CH <sub>2</sub> OH

### Biological Test

#### Pharmacological Test Example 1: Enhancing effect of KRN7000 on cytotoxic activity of NKT cells against tumor cells

**[0067]** The following experiment was carried out using the compound of Example 1 (KRN 7000) as a representative glycosidic compound of the present invention.

**[0068]** A vehicle (physiological saline containing 0.025% Polysolvate 20) or 100  $\mu$ g/kg of KRN 7000 was intravenously administered to RAG-1KO/V $\alpha$ 14tg/V $\beta$ 8.2tg mice (bearing a large number of NKT cells but not B cells, T cells or NK cells in their lymphocyte fraction), and 24 hours later, the spleen was taken out from each mouse to prepare spleen cells by conventional methods. RAG-1KO/V $\alpha$ 14tg/V $\beta$ 8.2tg mice were established by deletion of the RAG-1 gene and forcible expression of V $\alpha$ 14 and V $\beta$ 8.2 gene (Kawano T. et al., Science, 278, 1626-1629 (1997)). These mice are available from Masaru Taniguchi, School of Medicine, Chiba University. Cytotoxic activity of these spleen cells to mouse lymphoma YAC-1 cells was studied by the 4 hour-<sup>51</sup>Cr-release method (Kobayashi, E. et al., Oncology Res., 7, 529 (1995)). Results are shown in Figure 1.

**[0069]** As shown in Figure 1, cytotoxic activity against YAC-1 cells was significantly higher in the spleen cells prepared from the mice to which KRN 7000 was administered than in those prepared from the mice to which the vehicle was administered.

**[0070]** These results indicate that KRN 7000 enhances cytotoxic activity of spleen NKT cells against tumor cells, considering that RAG-1KO/V $\alpha$ 14tg/V $\beta$ 8.2tg mice bear a large number of NKT cells but no B cells, T cells or NK cells in the lymphocyte fraction of the spleen cells.

**[0071]** The results mentioned above indicate that KRN 7000 has an ability to enhance lytic activity of NKT cells against tumor cells.

#### Pharmacological Test Example 2: Stimulation of spleen NKT cell proliferation by KRN 7000

**[0072]** A vehicle (physiological saline solution containing 0.5% Polysolvate 20) or 1, 10 or 100 ng/kg of KRN 7000 was intravenously administered to C57BL/6 mice (Japan SLC, Inc.), and the spleen of individual mice was taken out after 24 hours to prepare spleen cells by conventional methods. These spleen cells were incubated in a plastic dish for 30 minutes to prepare nonadherent cells. By removing B cells in this nonadherent cells, a lymphocyte fraction was prepared.

T cells, NK cells, NKT cells and  $V\alpha 14^+$  NKT cells in this fraction were analyzed by the 3-color FACS analysis using the FITC-labeled anti-TCR  $\alpha\beta$  monoclonal antibody (Pharmingen), cyochrome-labeled anti-NK1.1 monoclonal antibody (Pharmingen) and PE-labeled anti- $V\alpha 14$  monoclonal antibody (Figure 2). The anti- $V\alpha 14$  monoclonal antibody was obtained by implanting anti- $V\alpha 14$  monoclonal antibody producing hybridomas (CMS-1; available from Masaru Taniguchi et al, School of Medicine, Chiba University) in nude mice and recovering ascites from the animals to purify the antibody. In Figures 2A and 2B, the numbers of NK1.1 cells, TCR $\alpha\beta$  cells and  $V\alpha 14$  cells in the lymphocyte fraction were expressed as fluorescence intensity of the labeled antibodies against these cells.

[0073] As shown in Figure 2A, a marked increase in the rate of NK1.1 $^+$  TCR $\alpha\beta$  $^+$  cells in the spleen lymphocyte fraction was observed in animals to which 100 ng/kg of KRN 7000 were administered as compared with animals to which the vehicle was administered.

[0074] As shown in Figure 2B, an increase in the rate of  $V\alpha 14^+$  cells in NK1.1 $^+$  TCR $\alpha\beta$  $^+$  cells was clearly observed in animals to which 100ng/kg of KRN 7000 was administered as compared with animals to which the vehicle was administered.

[0075] Furthermore, as shown in Figure 2C, the numbers of NK cells in the spleen lymphocyte fractions from the mice to which 10 or 100 ng/kg of KRN 7000 is administered is equal to those from the vehicle-administered mice. However, an about 2-fold increase in the numbers of lymphocyte fractions and T cells was observed in the KRN 7000-administered mice than the vehicle-administered mice. Further, the numbers of  $V\alpha 14^-$  NKT cells and  $V\alpha 14^+$  NKT cells in the spleen lymphocyte fraction from the KRN 7000-administered mice increased about more than three times (data not shown) and more than four times, respectively, as compared with those from the vehicle-administered mice.

[0076] Further, analyses of T cells, NK cells,  $V\alpha 14^-$  NKT cells and  $V\alpha 14^+$  NKT cells in the liver showed a marked increase in the numbers of  $V\alpha 14^-$  NKT cells and  $V\alpha 14^+$  cells by KRN7000 administration, similarly to the case of the spleen (data not shown).

[0077] The results mentioned above indicate that KRN 7000 has an ability to increase the number of NKT cells, particularly  $V\alpha 14^+$  NKT cells, in the body.

#### Pharmacological Test Example 3: Suppression of lymph node swelling in MRL lpr/lpr mice by KRN 7000

[0078] Ten animals per group of female MRL lpr/lpr mice (Sakamoto, A. Clin. Immunol., 28, 1558 (1966)), were used for the following experiment. During the observation of 21 MRL mice purchased at the age of 6 weeks, swelling of the axillary lymph nodes was recognized in one mouse at the age of 10 weeks. Accordingly, the other 20 mice were randomly divided into 2 groups. A vehicle (a physiological saline solution containing 0.025% Polysolvate 20) or KRN 7000 (100  $\mu$ g/kg) was intraperitoneally administered twice a week (on Tuesday and Friday) to the abovementioned 2 groups of animals starting from the age of 11 weeks. Axillary and inguinal lymph nodes were examined twice a week to observe the progress of lymph node swelling with time. The lymph nodes were scored into 4 grades, i.e., -(0), +(1), ++(2) and +++(3) as a function of size. The total scores of both right and left sides of the axillary lymph node (A) or inguinal lymph node (B) were expressed as lymph node swelling indexes as shown in Figure 3.

[0079] As shown in Figure 3A, the swelling in the axillary lymph nodes in MRL mice with aging was clearly suppressed by the administration of KRN 7000. Further, as shown in Figure 3B, the swelling in the inguinal lymph nodes in MRL mice with aging was also clearly suppressed by the administration of KRN 7000. In other words, KRN 7000 clearly has a capability to suppress lymph node swelling in MRL mice.

[0080] The MRL mouse is a model mouse for human systemic lupus erythematosus (Sakamoto, A., Clin. Immun., 28, 1558 (1996)). The results mentioned above indicate that KRN 7000 is effective in treating systemic lupus erythematosus.

#### Pharmacological Test Example 4: Effect of KRN 7000 on survival period of MRL lpr/lpr mice

[0081] Ten animals per group of female MRL lpr/lpr mice were used for the following experiment. MRL mice purchased at the age of 4 weeks were randomly divided into 2 groups (10 animals per group). A vehicle (a physiological saline solution containing 0.025% Polysolvate 20) or KRN 7000 (100  $\mu$ g/kg) was intraperitoneally administered twice a week (on Tuesday and Friday) to the animals starting from the age of 5 weeks. Survival of the animals was observed every day.

[0082] As shown in Figure 4, three mice to which KRN 7000 was administered survived even at 350 days after the start of the administration, while all the mice to which the vehicle was administered died within 250 days after the start of the administration.

#### Pharmacological Test Example 5: Suppression of 4% DSS-induced mouse colitis by KRN 7000

[0083] Ten animals per group of CDF1 mice (6 weeks of age, females) (Japan SLC Inc.) were used for the following



experiment. Day 0 was defined as the day when a 4% DSS solution (4% (w/v) dextran sodium sulfate (DSS) dissolved in water) was first provided as drinking water. The animals were divided into 3 groups, i.e., a group to which 100 µg/kg of KRN 7000 were intraperitoneally administered on days 1, 5, and 9, a group to which 1 µg/mouse of IL-12 was intraperitoneally administered on days 1, 3, 5, 7 and 9, and an untreated (control) group. Body weight was measured and survival or death of the animals were observed daily. Changes in body weight and survival rate are shown in Figure 5A and 5B, respectively.

[0084] As shown in Figure 5A, weight loss was observed at an extremely early period in the IL-12-administered group as compared with the control group. However, weight loss was obviously observed at a later period in the KRN 7000-administered group as compared with the control group.

[0085] Furthermore, as shown in Figure 5B, the survival period of the IL-12-treated mice was significantly shorter than that of the control group. However, the survival period of KRN7000-treated mice was significantly longer than that of the control group.

[0086] 4% DSS-induced mouse colitis is a model for human ulcerative colitis (Elson, C. et al., Gastroenterology, 109, 1344 (1995)). Accordingly, the results mentioned above indicate that KRN 7000 is effective in treating ulcerative colitis.

#### Pharmacological Test Example 6: Stimulation of NKT cell proliferation by compounds having $\alpha$ -glycosylceramide structure

[0087] Stimulation of NKT cell proliferation by compounds having an  $\alpha$ -glycosylceramide structure was studied using spleen cells of RAG-1KO/V $\alpha$ 14tg/V $\beta$ 8.2tg mice shown in Pharmacological Test Example 1.

[0088] Spleen cells were prepared from the spleen of RAG-1KO/V $\alpha$ 14tg/V $\beta$ 8.2tg mice by conventional methods. These spleen cells were suspended at  $2 \times 10^6$  cells/ml in an RPMI 1640 medium supplemented with 10% FCS, and 100 µl each of the suspension were plated into wells of 96-well round-bottomed plates. Ten different kinds of compounds having an  $\alpha$ -glycosylceramide structure shown in Figure 12 were added to the wells of the plates at a final concentration of 1, 10 or 100 ng/ml, and the plates were incubated for 2 days. Sixteen hours after the addition of [ $^3$ H] thymidine (0.5 µCi/well), the cells were harvested. The amount of [ $^3$ H] thymidine incorporated into the cells was measured by a liquid scintillation counter. Results are shown in Table 2.

Table 2

Sample	[ $^3$ H] Thymidine incorporation (cpm)		
	1 (ng/ml)	10 (ng/ml)	100 (ng/ml)
Vehicle	2090	2056	2014
KRN7000	40064	74669	102543
AGL517	3176	15583	83169
AGL563	2063	3773	13131
AGL571	3969	17848	118092
AGL577	2083	7792	49701
AGL586	5137	39750	102425
AGL584	29331	65084	96783
S1140B-9	3387	10265	49520
719-7	5287	30179	60528
STL-8	4761	26474	47141

[0089] As shown in Table 2, all the compounds above were revealed to have a significant activity to stimulate NKT cell proliferation at a concentration of 100 ng/ml as compared with the vehicle-added group.

[0090] The results mentioned above indicate that glycosidic compounds having an  $\alpha$ -D-glycosylceramide and glycosidic compounds having  $\alpha$ -D-glycosylceramide, in which other sugar is bound to its sugar moiety, are effective in treating autoimmune diseases.

Pharmacological Test Example 7: Suppression of the onset of experimental autoimmune encephalomyelitis by KRN 7000

[0091] Ten animals per group of C57BL/6 mice (6-week-old females) were used for the following experiment. 200 µg of a partial peptide of myelin oligodendrocyte glycoprotein (MOG33-55) and 500 µg of *Mycobacterium tuberculosis* H37Ra were added to Freund's incomplete adjuvant to prepare an emulsion. Mice were immunized by subcutaneously injecting this emulsion on day 0 and day 7. Further, 500 ng of pertussis toxin were intraperitoneally administered on day 1 and day 2 to induce experimental autoimmune encephalomyelitis (EAE) in mice. The animals were divided into 2 groups, i.e., a group to which 20 µg/kg of KRN 7000 were intraperitoneally administered on days 1, 5, 8, 12 and 15 and a group to which a vehicle (0.5%, Polysolvate 20) was administered in a similar manner. The level of EAE symptoms was observed every day. The level of EAE symptoms of individual mice in each group was shown in Figure 6.

[0092] As shown in Figure 6, in the vehicle-administered group (Figure 6A), all the mice showed the EAE onset within 15 days after the first MOG peptide immunization, and 80% of them died. However, in the KRN 7000-administered group (Figure 6B), 4 out of 10 mice showed the EAE onset, and only 2 of them died.

[0093] The results mentioned above indicate that KRN 7000 suppressed the EAE onset in mice. The EAE is a model for human multiple sclerosis (MS) (Autoimmune Disease Models, edited by Cohen I.R. and Miller A., Academic Press, Inc. (1994), Chapter 1, p. 1). Therefore, the results mentioned above indicate that KRN 7000 is effective in treating multiple sclerosis.

Pharmacological Test Experiment 8: Suppression of mouse diabetes onset by KRN 7000

[0094] Ten animals per group of NOD/ShiJic (NOD) mice (6-week-old, females) (Japan Clea, Inc.) were used for the following experiment. NOD mice shows the onset of diabetes with aging. The animals were divided into two groups, i.e., one group to which 100 µg/kg of KRN 7000 were intraperitoneally administered twice a week starting from 7-weeks of age, and an untreated control group. The presence and absence of diabetic symptoms was examined every week. The blood glucose level was measured using a glucometer (Miles Sankyo), and mice showing the value of more than 200 mg/dL twice consecutively were diagnosed to be diabetic. Figure 7 shows the incidence of diabetic mice in the two groups.

[0095] As shown in Figure 7, none of the KRN 7000-administered mice became diabetic even at the age of 35 weeks whereas 80% of the mice in the control group became diabetic at the age of 35 weeks.

[0096] The results mentioned above indicate that KRN 7000 suppresses the spontaneous onset of diabetes in NOD mice. The NOD mouse is a model animal for human type I diabetes (Autoimmune Disease Models, edited by Cohen I. R. and Miller A., Academic Press, Inc. (1994), Chapter 9, p. 149). The results mentioned above indicate that KRN 7000 is effective in treating type I diabetes.

Pharmacological Test Example 9: Stimulation of  $V\alpha 24^+$  NKT cell proliferation by KRN 7000

[0097] Peripheral blood mononuclear cells of a normal human were cultured for 4 days in an AIM medium supplemented with 10% FCS with the addition of GM-CSF (400 U/ml), IL-4 (200 U/ml) and KRN 7000 (100 ng/ml) to prepare antigen-presenting cells.

[0098] An autologous mixed leukocyte reaction (MLR) was performed using these antigen-presenting cells as stimulator cells and autologous peripheral blood mononuclear cells as responder cells. After incubation for 10 days, IL-2 (5 U/ml) was added, incubation was continued for another 4 days, and the cells were harvested. Next, CD4, CD8 double negative cells were recovered from these harvested cells and subjected to the phenotype analysis. The phenotypic analysis was expressed by fluorescence intensity of labeled antibodies against cells having various phenotypes. Results are shown in Figure 8.

[0099] As shown in Figure 8, it was revealed that a large number of cells having phenotype CD4<sup>+</sup>CD8<sup>-</sup>CD3<sup>+</sup> $V\alpha 24^+$  $V\beta 11^+$ NKRP1A<sup>+</sup> (a subset of  $V\alpha 24^+$  NKT cells) were present in these cell groups.

[0100] The cells were cultured using IL-2 (5 U/ml) to stimulate the proliferation of  $V\alpha 24^+$  NKT cells, which were used as responder cells in the following autologous mixed leukocyte reaction. Autologous peripheral blood mononuclear cells were cultured for 4 days with the addition of GM-CSF + IL-4 and 100 ng/ml of KRN 7000, AGL-583 ( $\beta$ -galactosylceramide,  $\beta$ -GalCer) or 0.1% DMSO (vehicle) to prepare antigen-presenting cells. An autologous mixed leukocyte reaction was carried out using these antigen-presenting cells as stimulator cells. After incubation for 2 days, [<sup>3</sup>H] thymidine (0.5 µCi/ml) was added, and cells were harvested after 8 hours to measure [<sup>3</sup>H]thymidine uptake into the cells by a liquid scintillation counter. Results are shown in Figure 9.

[0101] As shown in Figure 9, antigen presenting cells treated with the vehicle or  $\beta$ -galactosylceramide showed no effect on  $V\alpha 24^+$  NKT cell proliferation while antigen presenting cells treated with KRN 7000 showed a marked stimulative effect on  $V\alpha 24^+$  NKT cell proliferation in a manner dependent on the number of antigen-presenting cells. Further-

more, inhibitory effects of anti-CD1a, CD1b, CD1c, and CD1d antibodies on the stimulation of  $V\alpha 24^+$  NKT cell proliferation by the KRN 7000-treated antigen-presenting cells were assessed. As a result, only anti-CD1d antibody inhibited the stimulation of  $V\alpha 24^+$  NKT cell proliferation (data not shown).

[0102] The results mentioned above indicate that KRN 7000 is effective in stimulating the proliferation of human  $V\alpha 24^+$  NKT cells, a counterpart of mouse  $V\alpha 14^+$  NKT cells. Considering the current report that patients with advanced type I diabetes have an extremely small number of  $V\alpha 24^+$  NKT cells (Wilson et al., Nature, 391, 177 (1998)) and the results of Pharmacological Test Examples 3, 7 and 8, the results strongly suggest that KRN 7000 is effective in preventing or treating autoimmune diseases, in which human  $V\alpha 24^+$  NKT cells are involved, such as systemic lupus erythematosus, systemic sclerosis, multiple sclerosis and type I diabetes.

#### Pharmacological Test Example 10: Induction of abortion by KRN 7000

[0103] C57BL/6 mice (Japan SLC) were used for the following experiment. The day when a vaginal plug was observed was defined as day 0. Pregnant mice were divided into 2 groups (6 mice per group), i.e., one group to which 150  $\mu\text{g/kg}$  of KRN 7000 were intravenously administered on days 7, 8 and 9, and another to which PBS (phosphate- buffered saline) was administered in the same way. On day 12, the uterus was removed to observe the state of the embryo.

[0104] In the PBS-administered group, of the total of 53 embryos, 3 were dead and resorbed. The abortion rate was therefore 5.6%, which is consistent with the natural abortion rate of C57BL/6 mice (about 5%).

[0105] In the KRN 7000-administered group, of the total of 36 embryos, 12 were dead and resorbed. The abortion rate was 33%, which was obviously higher than that for the untreated group.

[0106] When the same amount of KRN 7000 was administered to  $V\alpha 14^+$  NKT-deficient ( $J\alpha 281\text{KO}$ ) mice (Kawano, T. et al., Science, 278, 1626-1629 (1997)) in the same manner, the abortion rate was 5.3%, which was equivalent to that for the PBS-administered group (5.0%). The  $V\alpha 14^+$  NKT-deficient mice are available from Masaru Taniguchi, School of Medicine, Chiba University.

[0107] Considering that KRN 7000 activates NKT cells, a significant correlation between NKT cell activation and abortion induction was indicated.

[0108] IL-12 is also known to activate NKT cells. When the same amount of IL-12 was administered to mice ((CBA $\times$ DBA/2) $F_1$ ) in the same manner, the resulting abortion rate was 34%. This result strongly supports the correlation mentioned above.

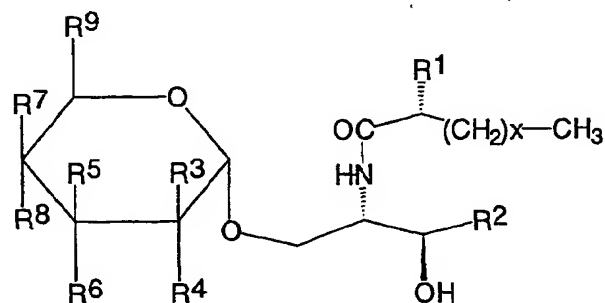
[0109] The results mentioned above indicate that KRN 7000 and IL-12 each have an ability to induce abortion.

#### Pharmacological Test Example 11: Acute toxicity test by a single administration

[0110] The compound of Example 1 was intravenously administered to mice. Results showed that  $\text{LD}_{50}$  for the compound was more than 10  $\text{mg/kg}$ . Furthermore, the compound has a low toxicity showing no particular symptom at the administration level of 10  $\text{mg/kg}$ .

#### Claims

1. A composition for activating NKT cells comprising a compound of formula (I):



(I)

wherein

R<sup>1</sup> represents H or OH,

X represents an integer between 7 and 27,

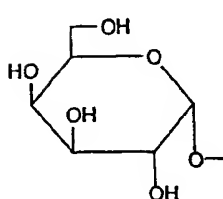
R<sup>2</sup> represents a substituent selected from the group consisting of the following (a) to (e) (wherein Y represents an integer between 5 and 17):

- (a)  $-\text{CH}_2(\text{CH}_2)_Y\text{CH}_3$
- (b)  $-\text{CH}(\text{OH})(\text{CH}_2)_Y\text{CH}_3$
- (c)  $-\text{CH}(\text{OH})(\text{CH}_2)_Y\text{CH}(\text{CH}_3)_2$
- (d)  $-\text{CH}=\text{CH}(\text{CH}_2)_Y\text{CH}_3$
- (e)  $-\text{CH}(\text{OH})(\text{CH}_2)_Y\text{CH}(\text{CH}_3)\text{CH}_2\text{CH}_3$ , and

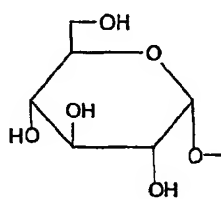
R<sup>3</sup> to R<sup>9</sup> represent substituents as defined in any one of the following i) to v):

i) when R<sup>3</sup>, R<sup>6</sup> and R<sup>8</sup> represent H,

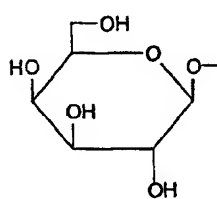
R<sup>4</sup> represents H, OH, NH<sub>2</sub>, NHCOCH<sub>3</sub>, or a substituent selected from the group consisting of the following groups (A) to (D):



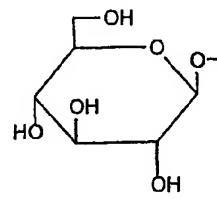
(A)



(B)

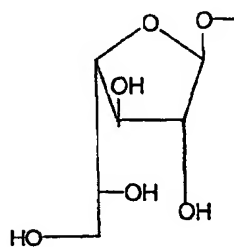


(C)

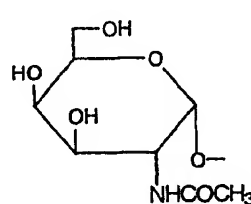


(D)

R<sup>5</sup> represents OH or a substituent selected from the group consisting of the following groups (E) and (F):

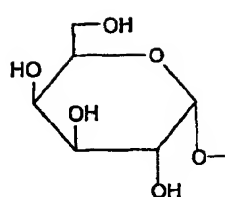


(E)

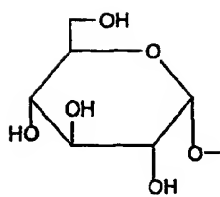


(F)

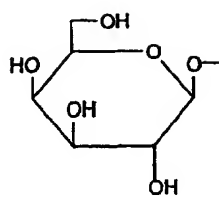
R<sup>7</sup> represents OH or a substituent selected from the group consisting of the following groups (A) to (D):



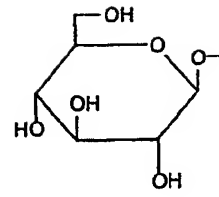
(A)



(B)

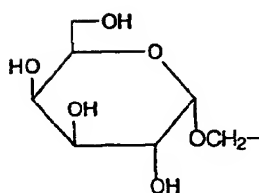


(C)

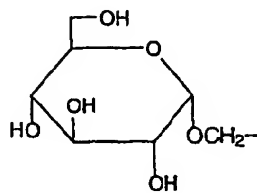


(D)

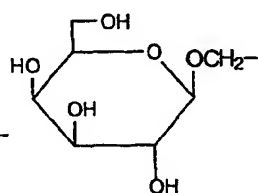
$R^9$  represents H,  $CH_3$ ,  $CH_2OH$  or a substituent selected from the group consisting of the following groups (A') to (D'):



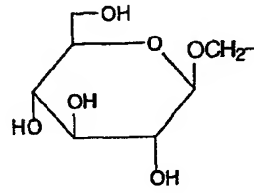
(A')



(B')



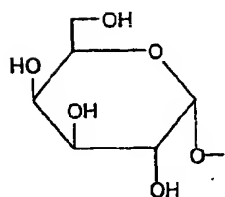
(C')



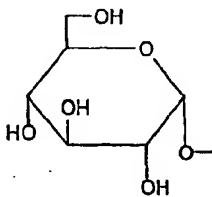
(D')

ii) when  $R^3$ ,  $R^6$  and  $R^7$  represent H,

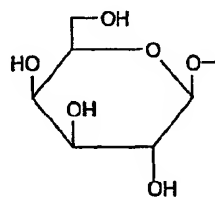
$R^4$  represents H, OH,  $NH_2$ ,  $NHCOCH_3$ , or a substituent selected from the following groups (A) to (D):



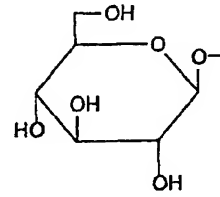
(A)



(B)

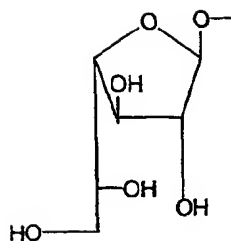


(C)

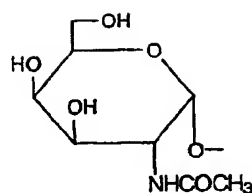


(D)

$R^5$  represents OH or a substituent selected from the group consisting of groups (E) and (F):

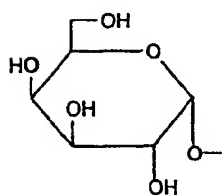


(E)

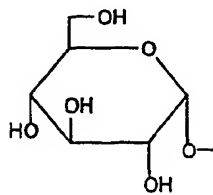


(F)

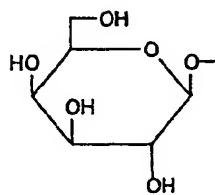
$R^8$  represents OH or a substituent selected from the group consisting of the following groups (A) to (D):



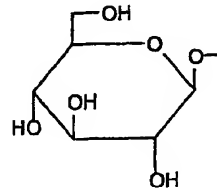
(A)



(B)

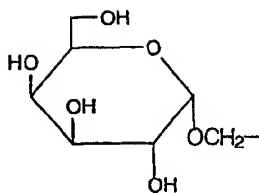


(C)

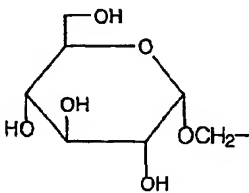


(D)

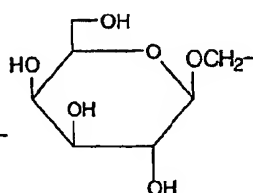
$R^9$  represents H,  $CH_3$ ,  $CH_2OH$  or a substituent selected from the group consisting of the following groups (A') to (D'):



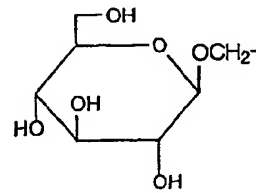
(A')



(B')



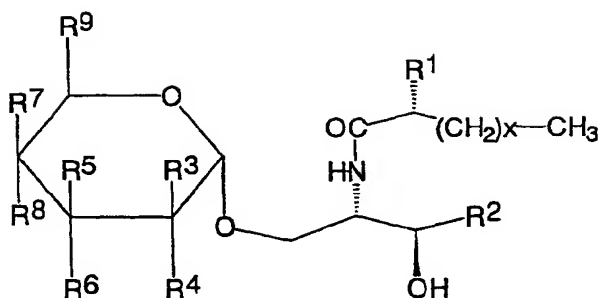
(C')



(D')

or a salt or a solvate thereof.

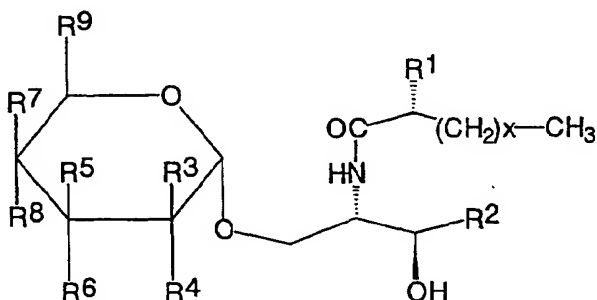
2. A pharmaceutical composition for use in the treatment of an autoimmune disease comprising a compound of formula (I), or a salt or a solvate thereof:



(I)

wherein R<sup>1</sup> to R<sup>9</sup> and X are as defined in claim 1.

3. A pharmaceutical composition as claimed in claim 2 wherein the autoimmune disease is systemic lupus erythematosus or systemic sclerosis.
4. A pharmaceutical composition as claimed in claim 2 wherein the autoimmune disease is ulcerative colitis.
5. A pharmaceutical composition as claimed in claim 2 wherein the autoimmune disease is encephalomyelitis or multiple sclerosis.
6. A pharmaceutical composition as claimed in claim 2 wherein the autoimmune disease is type I diabetes.
7. A pharmaceutical composition for inducing abortion comprising a compound of formula (I):



(I)

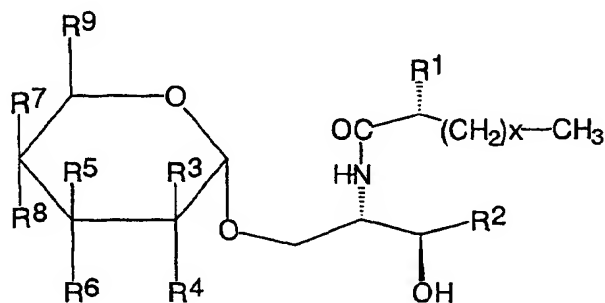
wherein R<sup>1</sup> to R<sup>9</sup> and X are as defined in claim 1. or salts or solvates thereof.

8. A composition as claimed in any one of claims 1 to 7 wherein R<sup>3</sup> and R<sup>6</sup> represent H, R<sup>4</sup> represents OH or a substituent of any one of groups (A) to (D), R<sup>5</sup> represents OH or a substituent of group (E) or (F), R<sup>7</sup> and R<sup>8</sup> each represent H or OH wherein both R<sup>7</sup> and R<sup>8</sup> do not represent the same substituent, and R<sup>9</sup> represents CH<sub>2</sub>OH, CH<sub>3</sub>, H or a substituent of any one of groups (A') to (D').
9. A composition as claimed any one of claims 1 to 7 wherein X represents an integer between 21 and 25 and R<sup>2</sup> represents substituent (b) wherein Y represents an integer between 11 and 15.
10. A composition as claimed any one of claims 1 to 7 wherein X represents an integer between 9 and 13 and R<sup>2</sup> rep-

resents substituent (a) wherein Y represents an integer between 11 and 15.

11. A composition as claimed any one of claims 1 to 7 wherein a compound of formula (I) is (2S,3S,4R)-1-( $\alpha$ -D-galactopyranosyloxy)-2-hexacosanoylamino-3,4- octadecanediol.

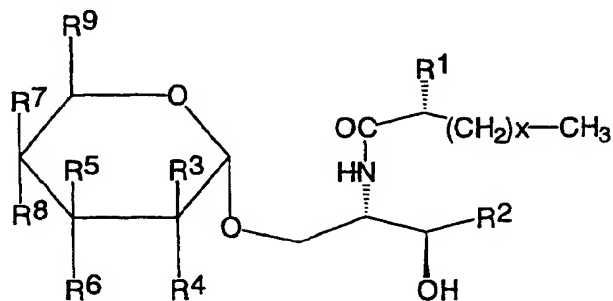
12. Use of a compound of formula (I) or a salt or a solvate thereof for the manufacture of an NKT cell-activating agent:



(I)

wherein R<sup>1</sup> to R<sup>9</sup> and X are defined as claimed in claim 1.

13. Use of a compound of formula (I) or a salt or a solvate thereof for the manufacture of a therapeutic agent for an autoimmune disease:



(I)

wherein R<sup>1</sup> to R<sup>9</sup> and X are defined as claimed in claim 1.

14. Use as claimed in claim 13 wherein the autoimmune disease is systemic lupus erythematosus or systemic sclerosis.

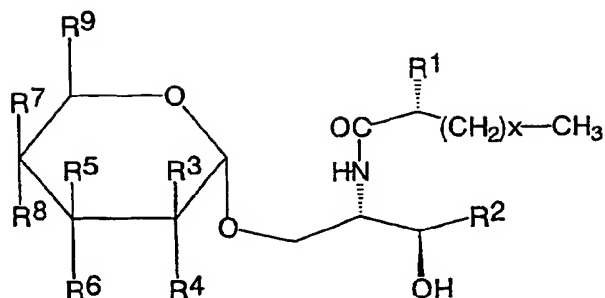
15. Use as claimed in claim 13 wherein the autoimmune disease is ulcerative colitis.

16. Use as claimed in claim 13 wherein the autoimmune disease is encephalomyelitis or multiple sclerosis.

17. Use as claimed in claim 13 wherein the autoimmune disease is type I diabetes.

18. Use of a compound of formula (I) or a salt or a solvate thereof for the manufacture of an agent for inducing abortion:

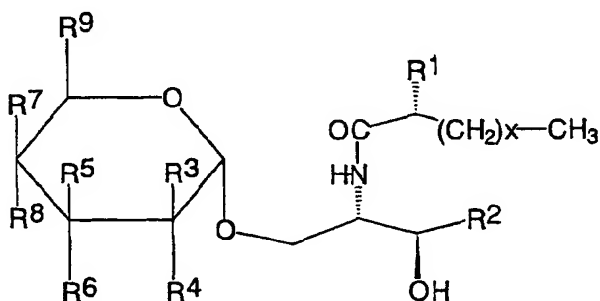




(I)

wherein  $R^1$  to  $R^9$  and  $X$  are as defined in claim 1.

19. Use as claimed in any one of claims 12 to 18 wherein  $R^3$  and  $R^6$  represent H,  $R^4$  represents OH or a substituent of any one of groups (A) to (D),  $R^5$  represents OH or a substituent of group (E) or (F),  $R^7$  and  $R^8$  each represent H or OH wherein both  $R^7$  and  $R^8$  do not represent the same substituent, and  $R^9$  represents  $CH_2OH$ ,  $CH_3$ , H or a substituent of any one of groups (A') to (D').
20. Use as claimed in any one of claims 12 to 18 wherein  $X$  represents an integer between 21 and 25 and  $R^2$  represents substituent (b) wherein  $Y$  represents an integer between 11 and 15.
21. Use as claimed in any one of claims 12 to 18 wherein  $X$  represents an integer between 9 and 13 and  $R^2$  represents substituent (a) wherein  $Y$  represents an integer between 11 and 15.
22. Use as claimed in any one of claim 12 to 18 wherein a compound of formula (I) is (2S,3S,4R)-1-( $\alpha$ -D-galactopyranosyloxy)-2-hexacosanoylamino-3,4-octadecanediol.
23. An NKT cell activated by a compound of formula (I) or a salt or a solvate thereof:

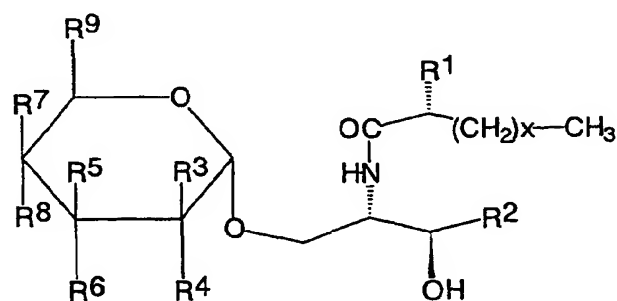


(I)

wherein  $R^1$  to  $R^9$  and  $X$  are as defined in claim 1.

24. An activated NKT cell as claimed in claim 23 wherein the NKT cell is a human  $V\alpha 24^+$  NKT cell.
25. An activated NKT cell as claimed in claim 23 which is obtainable by culturing a NKT cell in vitro in the presence of a compound of formula (I) or a salt or a solvate thereof.

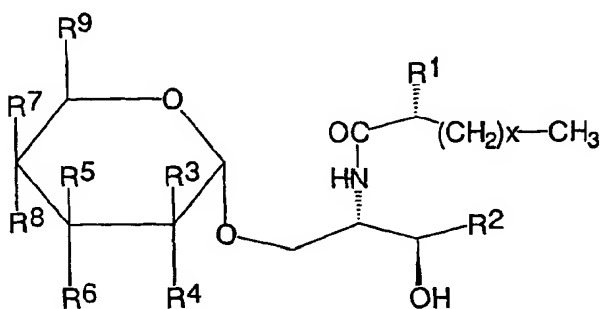
26. An activated NKT cell as claimed in claim 23, 24 or 25 wherein  $R^3$  and  $R^6$  represent H,  $R^4$  represents OH or a substituent of any one of groups (A) to (D),  $R^5$  represents OH or a substituent of group (E) or (F),  $R^7$  and  $R^8$  each represent H or OH wherein both  $R^7$  and  $R^8$  do not represent the same substituent, and  $R^9$  represents  $CH_2OH$ ,  $CH_3$ , H or a substituent of any one of groups (A') to (D').
27. An activated NKT cell as claimed in claim 23, 24 or 25 wherein X represents an integer between 21 and 25 and  $R^2$  represents substituent (b) wherein Y represents an integer between 11 and 15.
28. An activated NKT cell as claimed in claim 23, 24 or 25 wherein X represents an integer between 9 and 13 and  $R^2$  represents substituent (a) wherein Y represents an integer between 11 and 15.
29. An activated NKT cell as claimed in claim 23, 24 or 25 wherein a compound of formula (I) is (2S,3S,4R)-1-( $\alpha$ -D-galactopyranosyloxy)-2-hexacosanoylamino-3,4-octadecanediol.
30. A method for activating an NKT cell which comprises the step of culturing an NKT cell in vitro in the presence of a compound of formula (I) or a salt or a solvate thereof:



(I)

wherein  $R^1$  to  $R^9$  and X are as defined in claim 1.

31. A method for treating an autoimmune disease comprising the step of administering a compound of formula (I) or a salt or a solvate thereof to a mammal including a human:

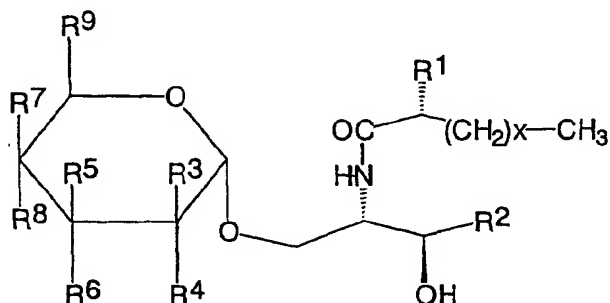


(I)

wherein  $R^1$  to  $R^9$  and X are as defined in claim 1.

32. A method for treating an autoimmune disease comprising the step of administering an activated NKT cell of claim 23 to a mammal including a human.

33. A method as claimed in claim 32 wherein the administration is carried out by implanting the activated NKT cell in the body of a mammal including a human.
34. A method as claimed in claim 31 or 32 wherein the autoimmune disease is systemic lupus erythematosus or systemic sclerosis.
35. A method as claimed in claim 31 or 32 wherein the autoimmune disease is ulcerative colitis.
36. A method as claimed in claim 31 or 32 wherein the autoimmune disease is encephalomyelitis or multiple sclerosis.
37. A method as claimed in claim 31 or 32 wherein the autoimmune disease is type I diabetes.
38. A method for inducing abortion which comprises the step of administering a compound of formula (I) or a salt or a solvate thereof to a mammal including a human:



(I)

wherein R<sup>1</sup> to R<sup>9</sup> and X are as defined in claim 1.

39. A method as claimed in any one of claims 30 to 38 wherein R<sup>3</sup> and R<sup>6</sup> represents H, R<sup>4</sup> represents OH or a substituent of any one of groups (A) to (D), R<sup>5</sup> represents OH or a substituent of group (E) or (F), R<sup>7</sup> and R<sup>8</sup> each represent H or OH wherein both R<sup>7</sup> and R<sup>8</sup> do not represent the same substituent, and R<sup>9</sup> represents CH<sub>2</sub>OH, CH<sub>3</sub>, H or a substituent of any one of groups (A') to (D').
40. A method as claimed in any one of claims 30 to 38 wherein X represents an integer between 21 and 25 and R<sup>2</sup> represents substituent (b) wherein Y represents an integer between 11 and 15.
41. A method as claimed in any one of claims 30 to 38 wherein X represents an integer between 9 and 13 and R<sup>2</sup> represents substituent (a) wherein Y represents an integer between 11 and 15.
42. A method as claimed in any one of claims 30 to 38 wherein a compound of formula (I) is (2S,3S,4R)-1-(α-D-galactopyranosyloxy)-2-hexacosanoylamino-3,4-octadecanediol.

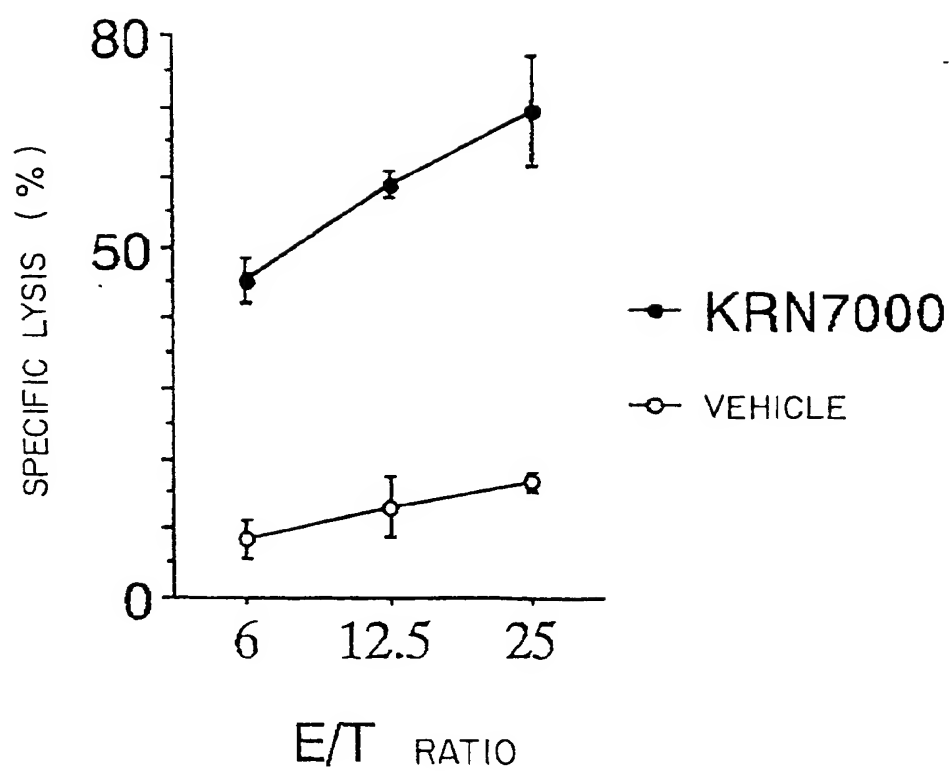


FIG. 1

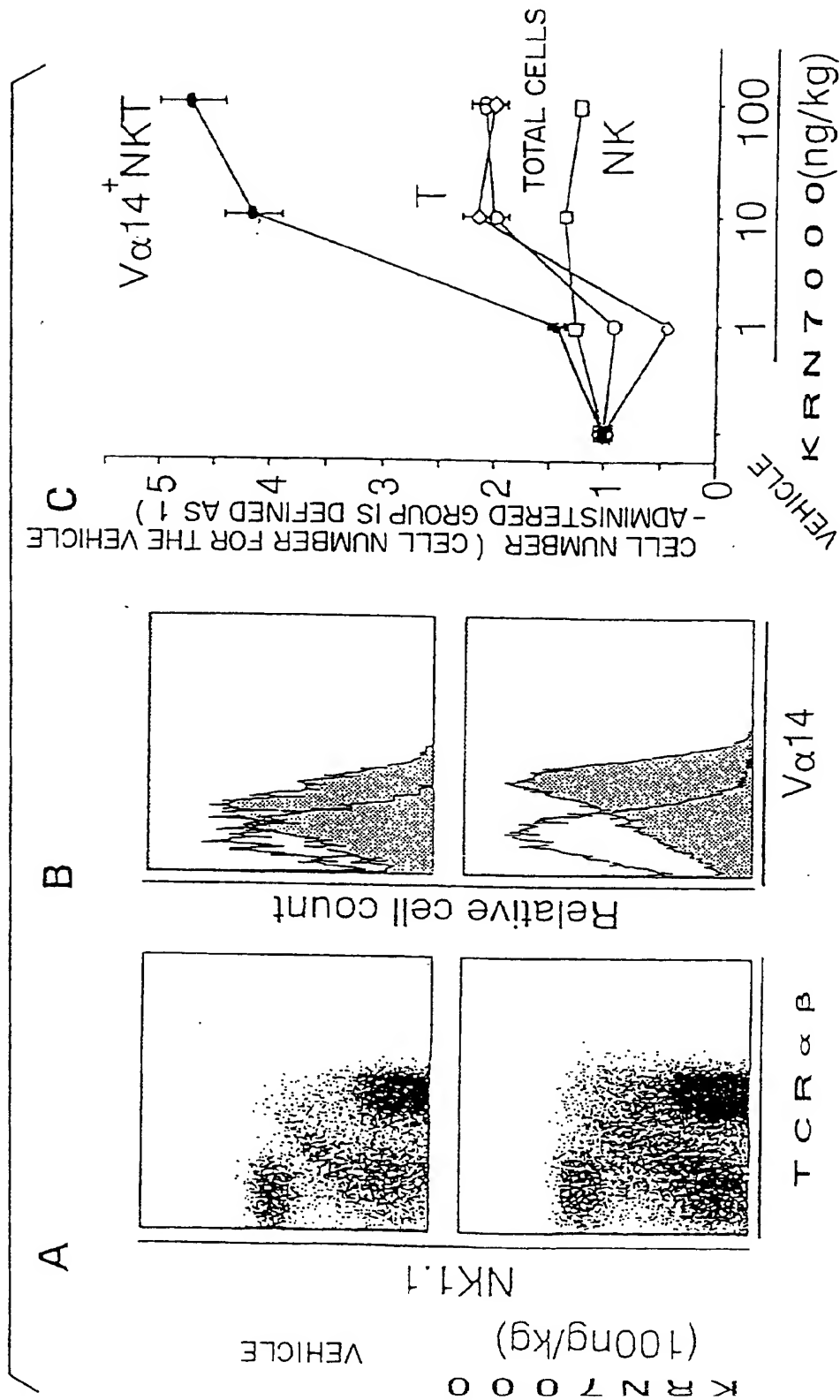


FIG. 2

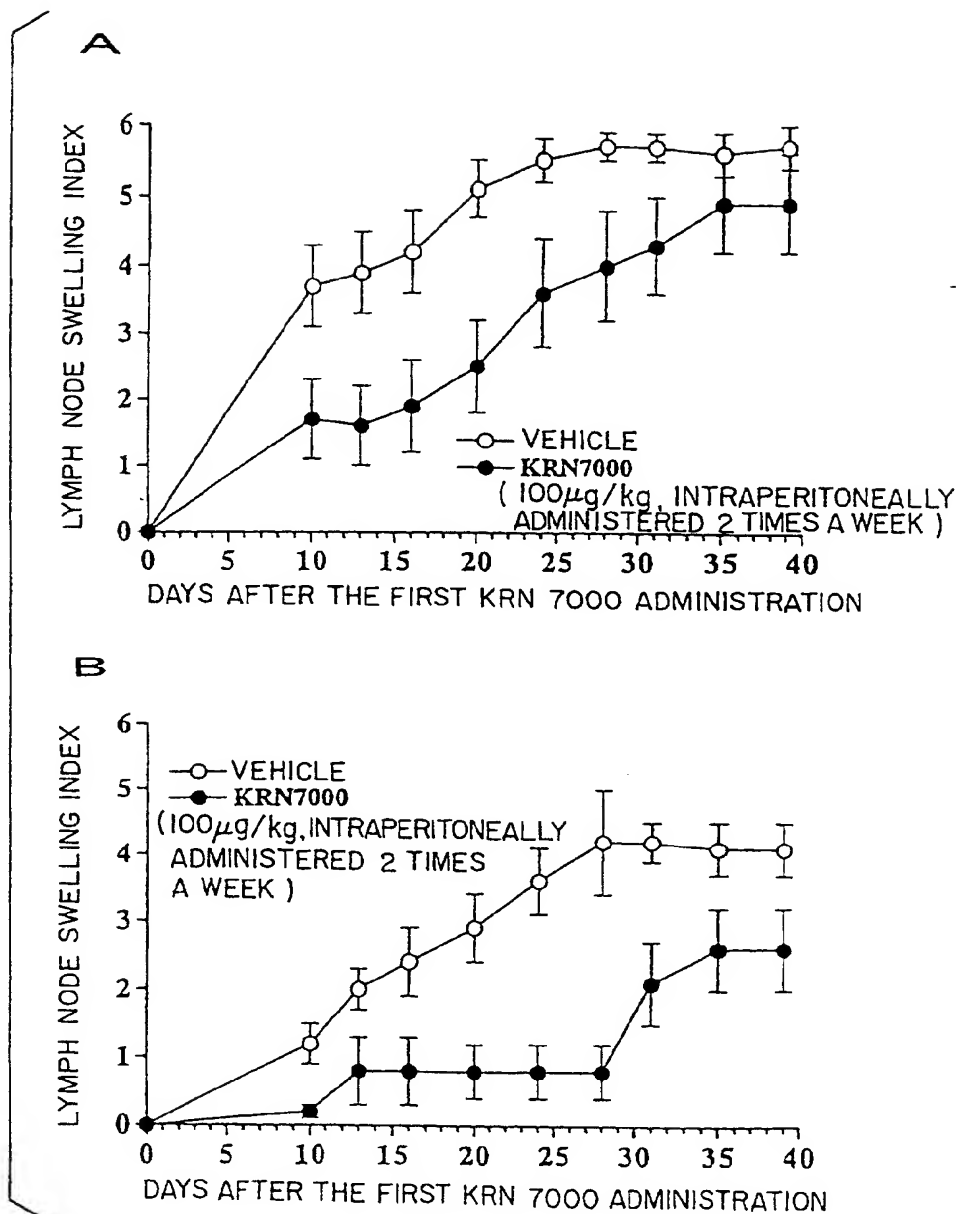


FIG. 3

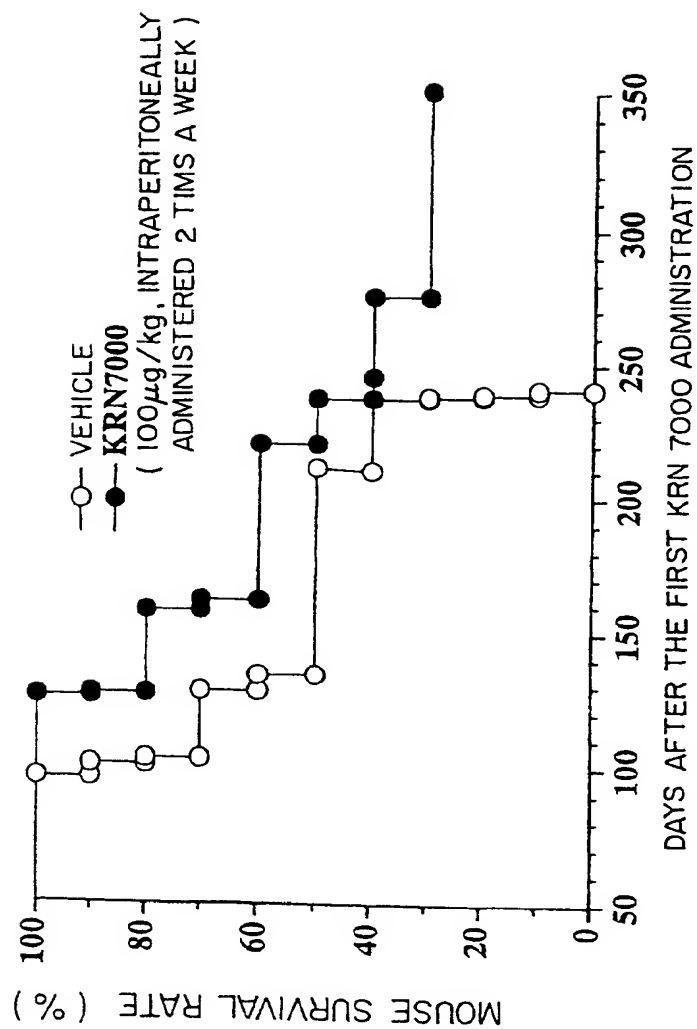


FIG. 4

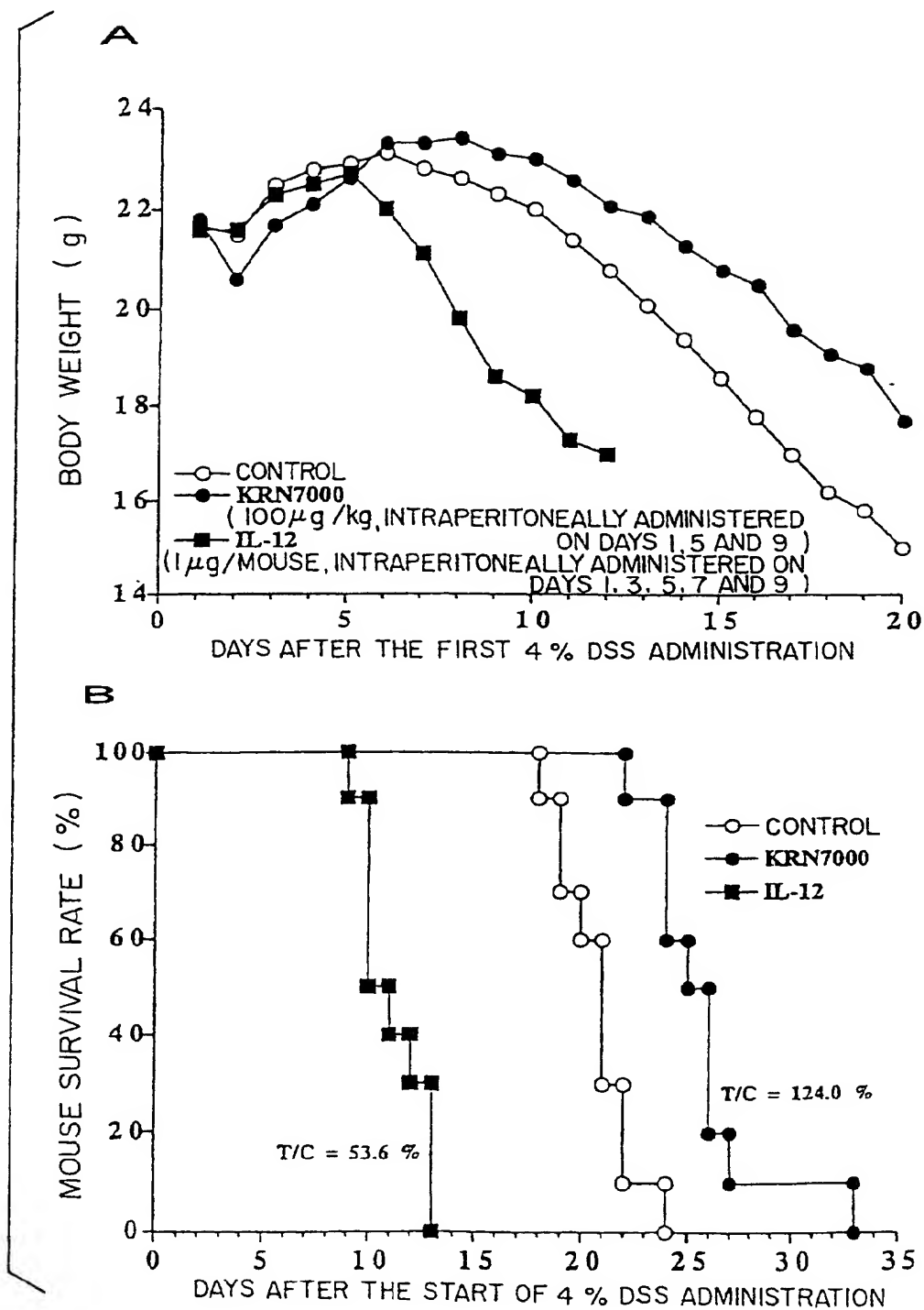
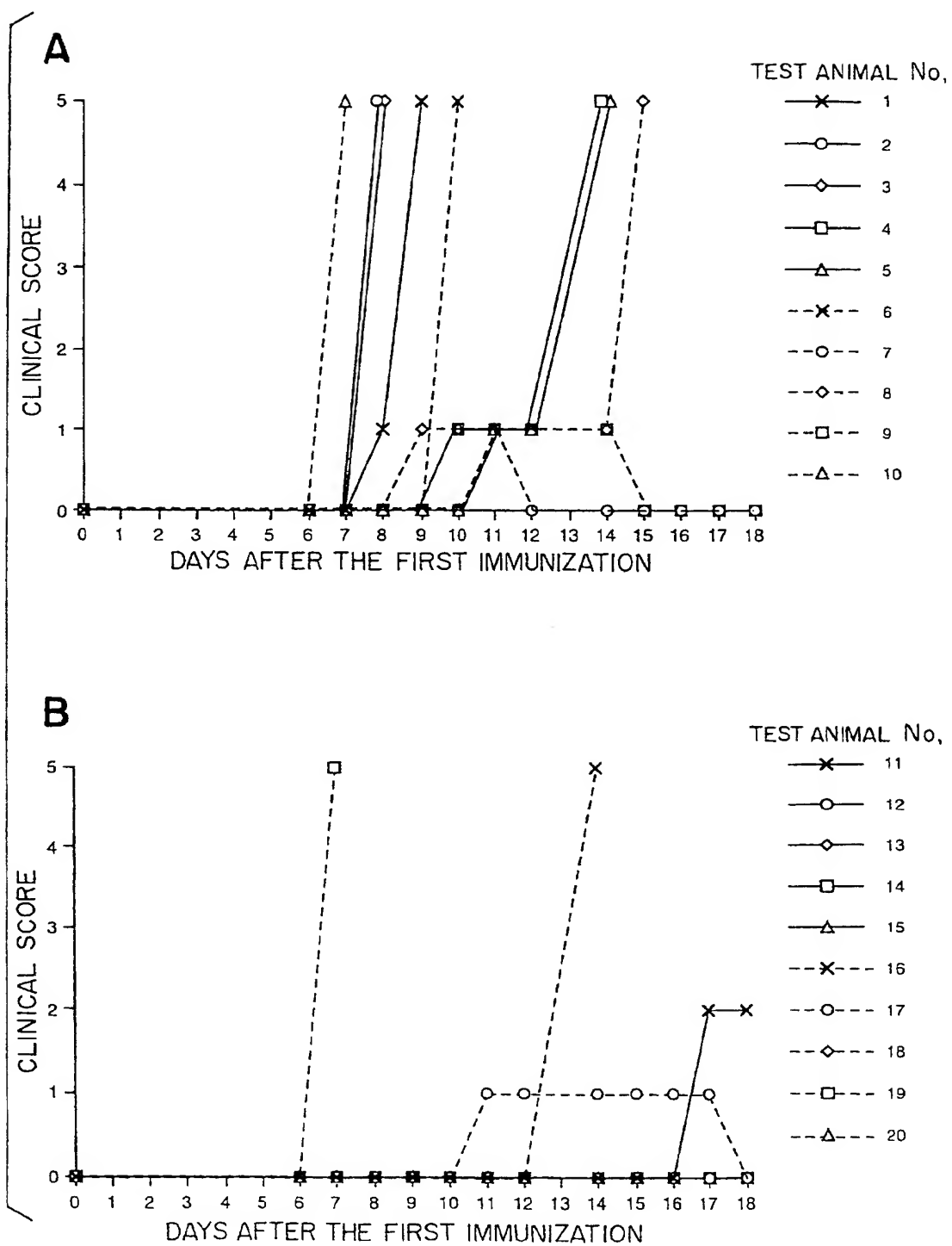


FIG. 5





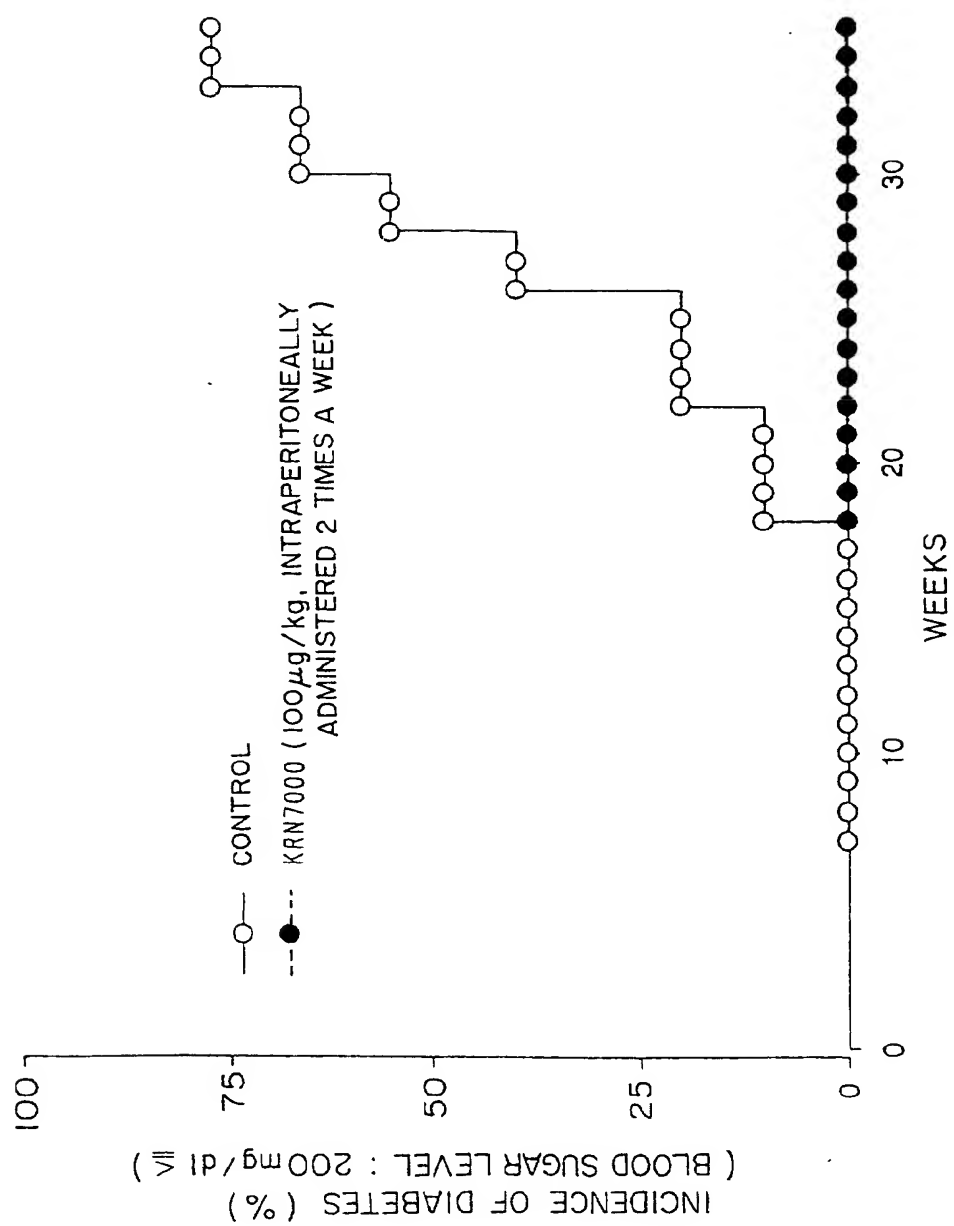


FIG. 7

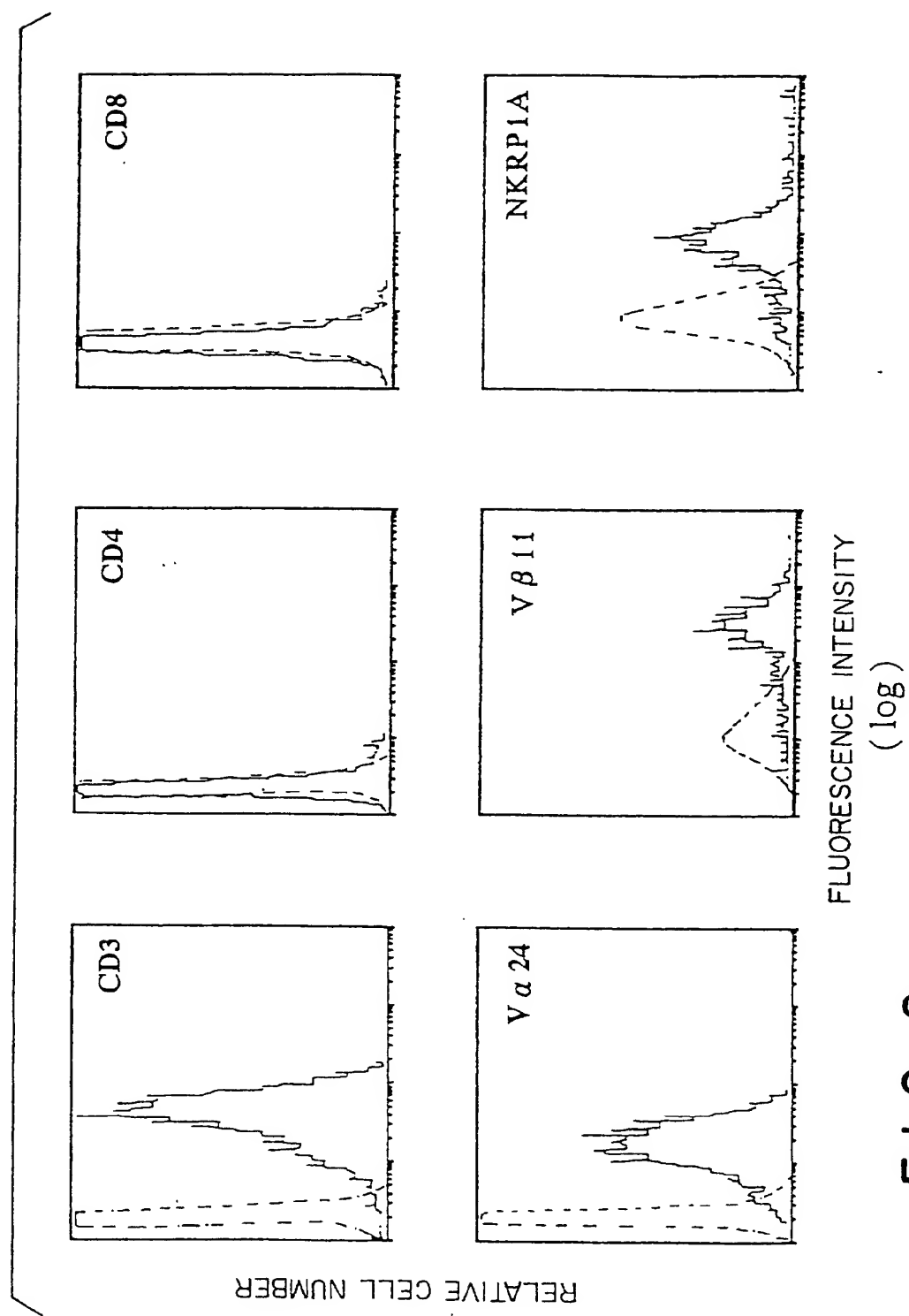


FIG. 8

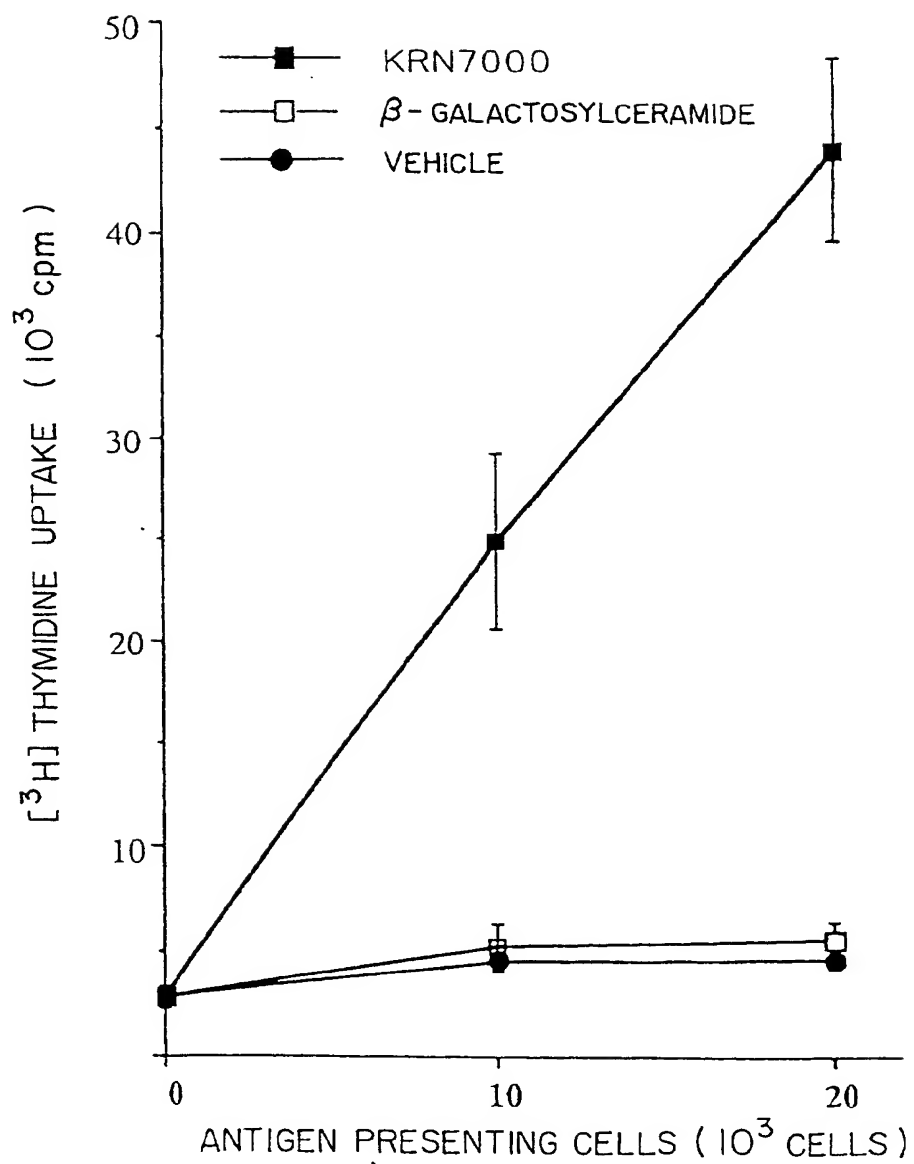


FIG. 9

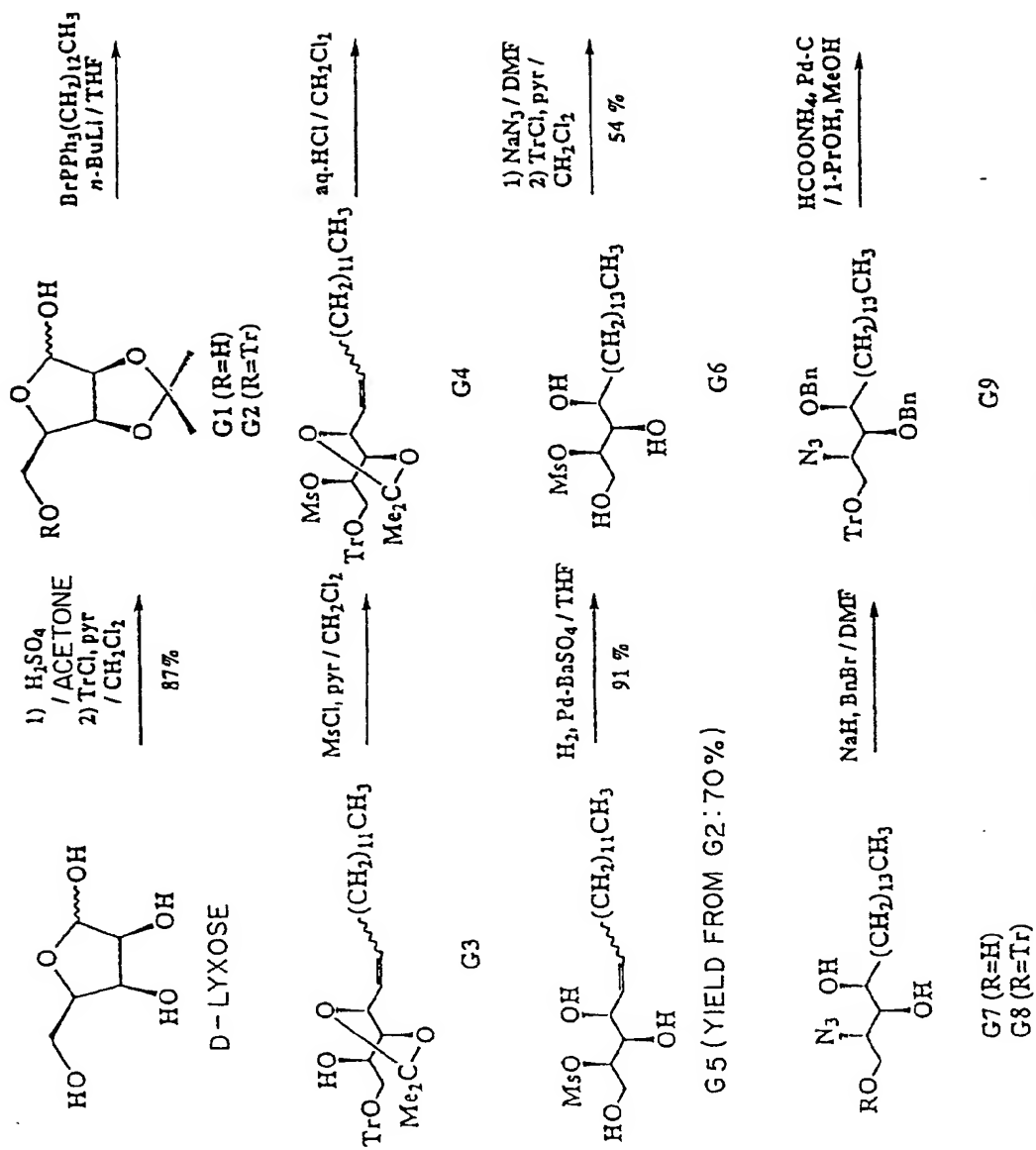


FIG. 10

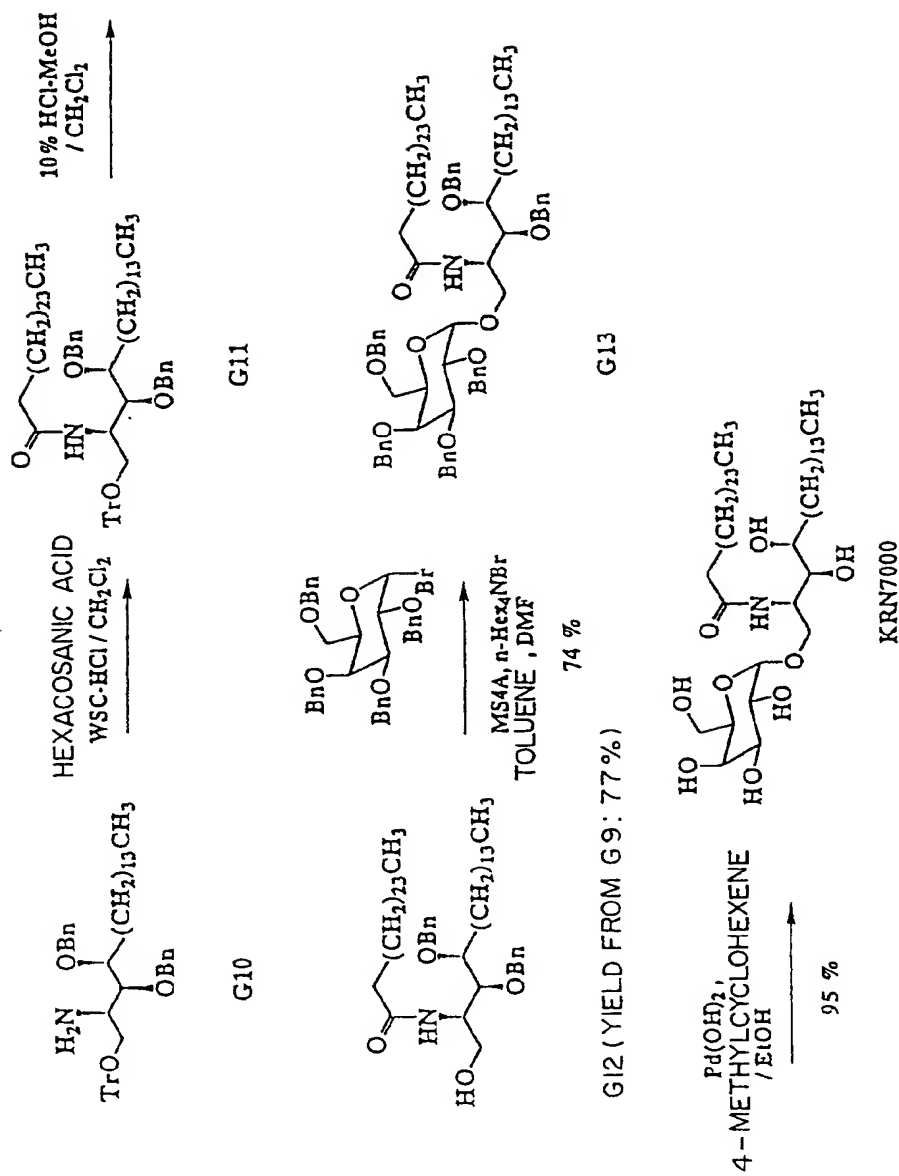


FIG. 11

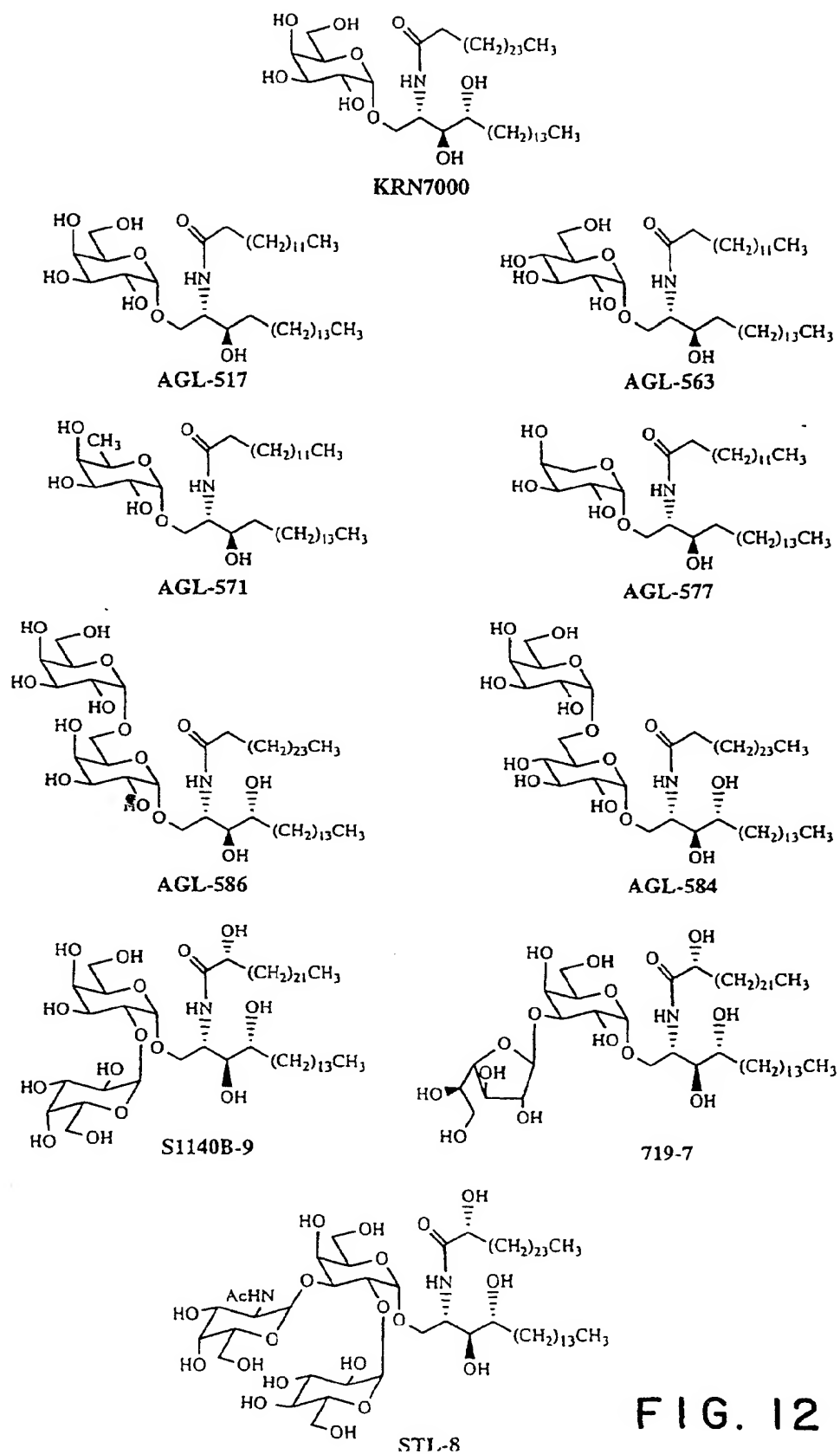


FIG. 12

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/JP98/01657

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> Int.Cl <sup>6</sup> A61K31/70, C12N5/06, C12N5/08 // C07H15/04 According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) Int.Cl <sup>6</sup> A61K31/70, C12N5/06, C12N5/08, C07H15/04 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) WPI (DIALOG), BIOSIS (DIALOG), CA (STN), REGISTRY (STN), MEDLINE (STN)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	KOBAYASHI E., et al., "Enhancing Effects of Agelasphin-11 on Natural Killer Cell Activities of Normal and Tumor-Bearing Mice", Biol. Pharm. Bull., Vol. 19, No. 3, (1996), p.350-353	1-30
A	MOTOKI K., et al., "Effects of $\alpha$ -Galactosylceramides on Bone Marrow Cells <i>in Vitro</i> and Hematopoiesis <i>in Vivo</i> ", Biol. Pharm. Bull., Vol. 19, No. 7, (1996), p.952-955	1-30
A	KOBAYASHI E., et al., "KRN7000, A Novel Immunomodulator, and Its Antitumor Activities", Oncology Research, Vol. 7, No. 10/11, (1995), p.529-534	1-30
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.		
* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family		
Date of the actual completion of the international search July 3, 1998 (03. 07. 98)		Date of mailing of the international search report July 14, 1998 (14. 07. 98)
Name and mailing address of the ISA/ Japanese Patent Office		Authorized officer
Facsimile No.		Telephone No.

Form PCT/ISA/210 (second sheet) (July 1992)



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/JP98/01657

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	YAMAGUCHI Y., et al., "Enhancing Effects of (2S, 3S, 4R)-1-O-( $\alpha$ -D-Galactopyranosyl)-2-(N-Hexacosanoylamino)-1,3,4-Octadecanetriol (KRN7000) on Antigen-Presenting Function of Antigen-presenting Cells and Antimetastatic Activity of KRN7000-Pretreated Antigen-Presenting Cells", Oncology Research, Vol. 8, No. 10/11, (1996), p.399-407	1-30
A	TAKEDA K., et al., "Liver NK1.1 <sup>+</sup> CD4 <sup>+</sup> $\alpha\beta$ T Cells Activated by IL-12 as a Major Effector in Inhibition of Experimental Tumor Metastasis", Journal of Immunology, Vol. 156, No. 9, (1996), p.3366-3373	23-30
A	KAWAMURA T., et al., "Cytotoxic activity against tumour cells mediated by intermediate TCR cells in the liver and spleen", Immunology, Vol. 89, (1996), p.68-75	23-30

Form PCT/ISA/210 (continuation of second sheet) (July 1992)

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/JP98/01657

**Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

- 1.
- ☒
- Claims Nos.: 31-42

because they relate to subject matter not required to be searched by this Authority, namely:

Claims 31 - 42 fall under the category of inventions of methods for treatment of the human body by therapy.

- 2.
- ☐
- Claims Nos.:

because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

- 3.
- ☐
- Claims Nos.:

because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

- 1.
- ☐
- As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.

- 2.
- ☐
- As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.

- 3.
- ☐
- As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:

- 4.
- ☐
- No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest ☐ The additional search fees were accompanied by the applicant's protest.☐ No protest accompanied the payment of additional search fees.